

## Original Article

# Carbon isotope discrimination during branch photosynthesis of *Fagus sylvatica*: a Bayesian modelling approach

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## ABSTRACT

Field measurements of photosynthetic carbon isotope discrimination ( $^{13}\Delta$ ) of *Fagus sylvatica*, conducted with branch bags and laser spectrometry, revealed a high variability of  $^{13}\Delta$ , both on diurnal and day-to-day timescales. We tested the prediction capability of three versions of a commonly used model for  $^{13}\Delta$  [called here comprehensive ( $^{13}\Delta_{\text{comp}}$ ), simplified ( $^{13}\Delta_{\text{simple}}$ ) and revised ( $^{13}\Delta_{\text{revised}}$ ) versions]. A Bayesian approach was used to calibrate major model parameters. Constrained estimates were found for the fractionation during  $\text{CO}_2$  fixation in  $^{13}\Delta_{\text{comp}}$ , but not in  $^{13}\Delta_{\text{simple}}$ , and partially for the mesophyll conductance for  $\text{CO}_2$  ( $g_i$ ). No constrained estimates were found for fractionations during mitochondrial and photorespiration, and for a diurnally variable apparent fractionation between current assimilates and mitochondrial respiration, specific to  $^{13}\Delta_{\text{revised}}$ . A quantification of parameter estimation uncertainties and interdependencies further helped explore model structure and behaviour. We found that  $^{13}\Delta_{\text{comp}}$  usually outperformed  $^{13}\Delta_{\text{simple}}$  because of the explicit consideration of  $g_i$  and the photorespiratory fractionation in  $^{13}\Delta_{\text{comp}}$  that enabled a better description of the large observed diurnal variation ( $\approx 9\%$ ) of  $^{13}\Delta$ . Flux-weighted daily means of  $^{13}\Delta$  were also better predicted with  $^{13}\Delta_{\text{comp}}$  than with  $^{13}\Delta_{\text{simple}}$ .

**Key-words:** Farquhar model; gas exchange; isotopologues; laser spectrometer; open branch bags; photosynthetic  $^{13}\text{C}$  discrimination.

## INTRODUCTION

The widespread use of stable carbon isotopes as a tool in biogeochemical research generally requires a reliable prediction of carbon isotope discrimination by plant photosynthesis ( $^{13}\Delta$ ) at various temporal and spatial scales (Werner *et al.* 2012). This is highlighted in isotope-constrained global

carbon budgets, where small changes in  $^{13}\Delta$  ( $<1\%$ ) at the continental scale can result in significant uncertainties in the partitioning of net  $\text{CO}_2$  fluxes between the terrestrial biosphere and the ocean (e.g. Randerson 2002; Ballantyne *et al.* 2010). An accurate prediction of  $^{13}\Delta$  is further necessary for isotope-based partitioning of the net ecosystem  $\text{CO}_2$  exchange (e.g. Bowling *et al.* 2001; Ogée *et al.* 2003; Knohl & Buchmann 2005; Zobitz *et al.* 2008) and the inference of canopy photosynthetic gas exchange from  $^{13}\text{C}/^{12}\text{C}$  ratios of respired  $\text{CO}_2$  (e.g. Ekblad *et al.* 2005; Knohl & Buchmann 2005; Knohl *et al.* 2005) or phloem sugars (e.g. Keitel *et al.* 2003; Ubierna & Marshall 2011). This is especially important as post-photosynthetic fractionations during biomass formation and respiration are known to alter the original isotopic imprint of  $^{13}\Delta$  on plant-derived organic matter and respired  $\text{CO}_2$  (e.g. Tcherkez *et al.* 2011a; Werner & Gessler 2011). Assigning the observed variability of  $^{13}\text{C}/^{12}\text{C}$  ratios of organic matter or respired  $\text{CO}_2$  to the proper metabolic process (photosynthesis, plant internal metabolism, respiration) is thus necessary for deciphering and using the environmental information contained in  $^{13}\text{C}/^{12}\text{C}$  ratios.

The most commonly used model for predicting  $^{13}\Delta$  in  $\text{C}_3$  plants was developed by Farquhar *et al.* (1982), and recently updated for ternary effects in leaf gas exchange (Farquhar & Cernusak 2012). Often, a simplified version of this model ( $^{13}\Delta_{\text{simple}}$ ) is used (e.g. Farquhar *et al.* 1982; Bowling *et al.* 2001; Betson *et al.* 2007; Michelot *et al.* 2011) that only accounts for the two largest isotope fractionations during stomatal diffusion and  $\text{CO}_2$  fixation (see Eqn 5). However, field studies with direct measurements of  $^{13}\Delta$  (called  $^{13}\Delta_{\text{obs}}$  hereafter) have shown that the natural variability of  $^{13}\Delta_{\text{obs}}$  is often (Wingate *et al.* 2007; Bickford *et al.* 2009, 2010) but not always (Bickford *et al.* 2009, 2010) better predicted by a more comprehensive version of the model ( $^{13}\Delta_{\text{comp}}$ ) that accounts for the whole chain of resistances towards the  $\text{CO}_2$  drawdown to the carboxylation sites and for fractionations during mitochondrial and photorespiration (see Eqn 3 below). For predictions of  $^{13}\Delta$  at canopy and global scales both  $^{13}\Delta_{\text{simple}}$

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(e.g. Baldocchi & Bowling 2003; Chen & Chen 2007; Ballantyne *et al.* 2011) and  $^{13}\Delta_{\text{comp}}$  (e.g. Ogée *et al.* 2003; Suits 2005; Cai *et al.* 2008) are currently used, but fractionation during mitochondrial respiration is usually neglected. A field study on *Picea sitchensis* (Bong.) Carr. (Wingate *et al.* 2007) reported high  $^{13}\Delta_{\text{obs}}$  values that were not explained by  $^{13}\Delta_{\text{comp}}$ . The authors suggested that this mismatch resulted from isotopic disequilibria caused by a difference in the  $^{13}\text{C}/^{12}\text{C}$  ratios of current assimilates and the actual substrates fuelling mitochondrial respiration in the light ( $R_{\text{day}}$ ). To achieve a quantitative description of such offsets, a diurnally variable apparent isotope fractionation factor ( $e^*$ ) was added ( $^{13}\Delta_{\text{revised}}$ ; see Eqn 4 below). Recent evidence supports this view and suggests that  $R_{\text{day}}$  is generally fuelled by older carbon pools that are likely  $^{13}\text{C}$ -enriched compared with current assimilates (Tcherkez *et al.* 2010, 2011b).

Despite the insights from earlier field studies (Harwood *et al.* 1998; Wingate *et al.* 2007; Bickford *et al.* 2009, 2010), we are currently missing thorough field-based evaluations of the available  $^{13}\Delta$  models on longer datasets that encompass both diurnal and seasonal variabilities of  $^{13}\Delta_{\text{obs}}$  (Wingate *et al.* 2010). Here, we use a 60-day-long dataset of continuous (sub-hourly)  $^{13}\Delta_{\text{obs}}$  measurements on leafy branches of mature European beech (*Fagus sylvatica* (L.)) trees and test the prediction capabilities of the different  $^{13}\Delta$  model versions outlined above. Continuous measurements of  $^{13}\Delta_{\text{obs}}$  were made possible through the deployment of a laser spectrometer for  $\text{CO}_2$  isotopologue concentration measurements (QCLAS-ISO; Aerodyne Research Inc., Billerica, MA, USA) and three automated open branch bags.

Model calibrations of  $^{13}\Delta_{\text{simple}}$ ,  $^{13}\Delta_{\text{comp}}$  and  $^{13}\Delta_{\text{revised}}$  are accomplished using a Bayesian inversion scheme. Model performances are explored by investigating mean diurnal patterns and the day-to-day variability of flux-weighted daily means for observed and predicted  $^{13}\Delta$ . The Bayesian model calibration approach enabled a sound treatment of uncertainties, originating from model structure, parametrization and  $^{13}\Delta_{\text{obs}}$  measurement error. It thereby helped to evaluate the amount of model-relevant information contained in our  $^{13}\Delta_{\text{obs}}$  data. Consequently, we obtained insights from the Bayesian model calibration on parameter interdependencies and their influence on parameter estimation and constraint. We also show examples from an additional sensitivity analysis for single model parameters. Finally, we use the calibrated  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  models to explore the overall importance of single model terms over the diurnal cycle.

## MATERIALS AND METHODS

### Field site and measurement trees

The Lägeren research site is located on a south-facing mountain slope at 682 a.s.l and 20 km north-west of Zurich in Switzerland. Vegetation is a mixed deciduous forest dominated by European beech (*F. sylvatica* (L.)) and dominant trees are about 31 m high (Eugster *et al.* 2007). In 2010, mean annual air temperature was 7.7 °C and annual precipitation was 888 mm (BAFU 2011). Three co-dominant beech trees, 17 to 20 m high, were each equipped with a gas exchange

measurement chamber (branch bag). Branch bags (replicates called BB1, BB2 and BB3 hereafter) were installed at about 2 m height. To compensate for the low height in the canopy, the selected branches were situated upslope of a windthrow area with a southern or south-eastern orientation in order to ensure full or partial exposure to direct sunlight. The distance between trees was 5 to 20 m.

### Field set-up and branch bags

The branch bags were connected to a set of laser spectrometers for  $\text{CO}_2$  (QCLAS-ISO; Aerodyne Research Inc., Billerica, MA, USA) and water vapour (WVIA; Los Gatos Research Inc., Mountain View, CA, USA) isotopologue concentration measurements, located in an air-conditioned hut. Each branch bag was measured every 45 min. Branch bags and laser spectrometers were connected by polytetrafluoroethylene (PTFE) tubing, heated at 15 °C above ambient temperature. The branch bags had a volume of 69 dm<sup>3</sup> and enclosed between 110 to 250 leaves. The construction frame consisted of two elliptical plexiglass end pieces, connected by aluminium rods, and was covered with a highly transparent, 50 µm thin FEP film (Norton® FEP-WF; Saint-Gobain, Willich, Germany). Between measurements and a 30 min steady-state establishment period, the branch bag air volume was flushed thoroughly. Steady-state conditions were established with a blowing axial fan (D481T-024KA-3; Micronel AG, Tagelswangen, Switzerland), set to a variable flow rate (9 to 60 dm<sup>3</sup> min<sup>-1</sup>) controlled by an air mass flow sensor (AWM 720P1, Honeywell Sensing and Control, Golden Valley, MN, USA) and dependent on the incident photosynthetic active radiation (PAR) 30 min prior to measurements. A valve-switching system mediated subsampling of ambient (inlet) and chamber (outlet) air. For a particular branch bag sampling, two inlet measurements (lasting 80 s) were carried out before and after one outlet measurement (lasting 110 s). The laser spectrometers operated at 1 Hz and measurements were averaged to a 5 s logging interval. All branch bags were equipped with a sensor for PAR (SQ-110; Apogee Instruments Inc., Logan, UT, USA), a combined sensor for air temperature and relative humidity (HygroClip S3-C03; Rotronic AG, Bassersdorf, Switzerland) and two thermocouples for measuring leaf temperature (PTFE-coated Thermocouple Type T 0.08 mm; Omega Engineering Inc., Stamford, CT, USA), each attached to the lower sides of two different leaves (Table 1). Duplicate air temperature measurements were further made in two out of three branch bags using thermocouples (Thermocouple Type T 0.2 mm, TC-Direct, Mönchengladbach, Germany). A calibration routine for the laser spectrometers was conducted every 90 min, with standard gases referenced to the WMO scale for  $\text{CO}_2$  mixing ratios and the V-PDB- $\text{CO}_2$  scale for the isotope ratios (Kaiser 2008). The campaign-long instrument stability for calibrated measurements of the  $\text{CO}_2$  mole fraction,  $\delta^{13}\text{C}$  and  $\delta^{18}\text{O}$  was  $\pm 0.22$  ppm,  $\pm 0.21\text{‰}$  and  $\pm 0.21\text{‰}$ , respectively. Details for the QCLAS-ISO and WVIA instrument set-ups can be found in Sturm *et al.* (2012) and in Sturm & Knohl (2010), respectively.

**Table 1.** List of abbreviations used in the text

Abbreviation	Definition	Unit
$A_n$	Measured rate of branch net CO <sub>2</sub> assimilation	$\mu\text{mol m}^{-2} \text{s}^{-1}$
BB1 to 3	Branch bag number	
$a$	<sup>13</sup> C fractionation during CO <sub>2</sub> diffusion through the stomata (4.4‰)	‰
$a_b$	<sup>13</sup> C fractionation during CO <sub>2</sub> diffusion across the leaf boundary layer (2.9‰)	‰
$a_l$	<sup>13</sup> C fractionation during diffusion of dissolved CO <sub>2</sub> in the liquid phase (0.7‰)	‰
$\bar{a}$	Weighted <sup>13</sup> C fractionation during CO <sub>2</sub> diffusion across the leaf boundary layer and through the stomata in series	‰
$\alpha_{ac}$	<sup>13</sup> C fractionation factor for the isotopologues of CO <sub>2</sub> diffusing in air	
$\alpha_b$	<sup>13</sup> C fractionation factor for carboxylations in C <sub>3</sub> plants	
$\alpha_f$	<sup>13</sup> C fractionation factor for photorespiratory decarboxylation	
$\alpha_c$	<sup>13</sup> C fractionation factor for mitochondrial respiratory decarboxylation	
$b$	Net <sup>13</sup> C discrimination during carboxylations by Ribulose 1.5-bisphosphate carboxylase/oxygenase and Phosphoenolpyruvate carboxylase in C <sub>3</sub> plants	‰
$\bar{b}$	Net <sup>13</sup> C discrimination during carboxylations in C <sub>3</sub> plants in <sup>13</sup> $\Delta_{\text{simple}}$ only, adjusted to account for omitted CO <sub>2</sub> transfer resistances	‰
$c_a = c_o$	CO <sub>2</sub> mole fraction in dry branch bag air	$\mu\text{mol mol}^{-1}$
$c_e$	CO <sub>2</sub> mole fraction in dry ambient air outside the branch bags	$\mu\text{mol mol}^{-1}$
$c_c$	CO <sub>2</sub> mole fraction at the carboxylation sites	$\mu\text{mol mol}^{-1}$
$c_i$	CO <sub>2</sub> mole fraction in the intercellular spaces	$\mu\text{mol mol}^{-1}$
$c_s$	CO <sub>2</sub> mole fraction in dry air at the leaf surface	$\mu\text{mol mol}^{-1}$
$\delta_c$	Carbon isotope ratio of dry ambient air outside the branch bags	‰
$\delta_o$	Carbon isotope ratio of dry branch bag air	‰
<sup>13</sup> $\Delta_{\text{obs}}$	Observed net <sup>13</sup> C discrimination during branch photosynthesis (Eqn 1)	‰
<sup>13</sup> $\Delta_{\text{simple}}$	Predicted net <sup>13</sup> C discrimination during branch photosynthesis (Eqn 5)	‰
<sup>13</sup> $\Delta_{\text{comp}}$	Predicted net <sup>13</sup> C discrimination during branch photosynthesis (Eqn 3)	‰
<sup>13</sup> $\Delta_{\text{revised}}$	Predicted net <sup>13</sup> C discrimination during branch photosynthesis (Eqn 4)	‰
<sup>13</sup> $\Delta_{x,H}$	Hour of day means of observed or predicted <sup>13</sup> $\Delta_x$ for all field campaign data. Hours relate to the subsequent hour (CET).	‰
<sup>13</sup> $\Delta_{x,D}$	Flux ( $A_n$ )-weighted daily means of observed or predicted <sup>13</sup> $\Delta_x$	‰
$e$	<sup>13</sup> C fractionation during mitochondrial respiratory decarboxylation	‰
$e^*$	Apparent <sup>13</sup> C fractionation associated with $R_{\text{day}}$ if fuelled from older substrates	‰
$e_s$	<sup>13</sup> C fractionation during equilibrium dissolution of CO <sub>2</sub> into the liquid phase (1.1‰)	‰
$f$	<sup>13</sup> C fractionation during photorespiratory decarboxylation	‰
$g_b$	Branch boundary-layer conductance to CO <sub>2</sub>	$\text{mol m}^{-2} \text{s}^{-1}$
$g_i$	Estimated internal (mesophyll) conductance to CO <sub>2</sub>	$\text{mol m}^{-2} \text{s}^{-1}$
$g_{s, \text{obs}}$	Observed branch stomatal conductance to CO <sub>2</sub>	$\text{mol m}^{-2} \text{s}^{-1}$
$g_{s, \text{mod}}$	Predicted branch stomatal conductance to CO <sub>2</sub> (Eqn 2)	$\text{mol m}^{-2} \text{s}^{-1}$
$\Gamma^*$	$T_{\text{leaf}}$ -dependent CO <sub>2</sub> compensation point in the absence of $R_{\text{day}}$ (Eqn 7)	$\mu\text{mol mol}^{-1}$
$k$	Carboxylation efficiency (Eqn 9)	$\text{mol m}^{-2} \text{s}^{-1}$
MLE	Maximum likelihood estimates for calibrated model parameters	
PAR	Measured photosynthetic active radiation	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$R_{\text{day}}$	Branch respiration during the day, predicted as a function of $R_{\text{night}}$ and $T_{\text{leaf}}$ (Eqn 8)	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$R_{\text{night}}$	Measured branch respiration during the night	$\mu\text{mol m}^{-2} \text{s}^{-1}$
$h$	Observed relative humidity (calculated from WVIA water vapour measurements)	%
RMSE	Root mean square error	
$T_{\text{leaf}}$	Measured lower leaf surface temperature in the branch bag	°C
$Y_{2.5}$ and $Y_{97.5}$	2.5 and 97.5 percentiles of the model predictive uncertainty for each <sup>13</sup> $\Delta$ prediction	‰

## Data handling and dataset

Data processing and statistical analysis were done using R 2.9.2 (R Development Core Team 2009) and MATLAB (The MathWorks Inc., Natick, MA, USA). Photosynthetic <sup>13</sup>C discrimination was only calculated if PAR was greater than 10  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . Measurements with a high inlet or outlet variability, an inlet-to-outlet CO<sub>2</sub> drawdown less than 10 ppm or a standard deviation (SD) for <sup>13</sup> $\Delta_{\text{obs}}$  greater than 6‰ were discarded. The SD of <sup>13</sup> $\Delta_{\text{obs}}$  was calculated from the SD of inlet and outlet measurements using Gaussian error propagation (Taylor 1997).

## Calculation of <sup>13</sup> $\Delta_{\text{obs}}$

The observed net carbon isotope discrimination during photosynthesis of leafy branches (<sup>13</sup> $\Delta_{\text{obs}}$ ) was calculated from QCLAS-ISO measured CO<sub>2</sub> mole fractions and isotope compositions of dry air at branch bag inlets ( $c_e$ ,  $\delta_e$ ) and outlets ( $c_o$ ,  $\delta_o$ ) at steady-state conditions, following Evans *et al.* (1986):

$${}^{13}\Delta_{\text{obs}} = \frac{\xi(\delta_o - \delta_e)}{1 + \delta_o - \xi(\delta_o - \delta_e)} \quad \text{with} \quad \xi = \frac{c_e}{c_e - c_o} \quad (1)$$

Further gas exchange related calculations are given in the Appendix.

### Estimation of boundary-layer and stomatal conductances

The one-sided boundary-layer conductance inside each branch bag ( $g_b$ ) was approximated from leaf heat transfer estimates using average leaf dimensions and wind speed measurements (ThermoAir2, Schiltknecht, Gossau, Switzerland) above leaf surfaces during varying flow rates, following Jones (1992). Using an average measured wind speed of  $0.4 \text{ m s}^{-1}$  and leaf widths ranging from 36 to 42 mm, the one-sided boundary-layer conductance for  $\text{CO}_2$  during the day ranged from  $0.74$  to  $0.80 \text{ mol m}^{-2} \text{ s}^{-1}$ , depending on the branch bag considered.

Two different approaches were used to obtain estimates of stomatal conductance ( $g_s$ ). In the first approach,  $g_s$  was calculated directly from water vapour measurements as described in the appendix. These observed  $g_s$  values (called  $g_{s,obs}$  hereafter) were used for model calibrations and predictions only if they had passed several data quality filters to remove (1) potential water vapour condensation events within the branch bags, (2) high standard deviations ( $>5\%$  of the mean) for inlet or outlet water vapour measurements and (3) large differences ( $>1 \text{ }^\circ\text{C}$ ) between the duplicate leaf temperature measurements within each branch bag. This data quality filtering for  $g_{s,obs}$  resulted in the retention of 50 to 65% of the  $^{13}\Delta_{obs}$  data, depending on the branch bag. Data loss was more pronounced for midday measurements than for other times of the day because of effects from higher transpiration rates (filter 1), greater inlet variabilities (filter 2) and a greater probability of heterogeneous leaf temperatures (filter 3) during midday. Higher transpiration rates during midday made measurements more susceptible to condensation in the non-frequent case of non-optimal tuning of the flow rate through the branch bag (e.g. caused by quick changes from cloudy to sunny conditions).

Because we wanted to retain 100% of the  $^{13}\Delta_{obs}$  data, we also used a second approach where stomatal conductance was modelled ( $g_{s,mod}$ ) from observed gas exchange parameters, using a simple version of the Ball–Berry model (Collatz *et al.* 1991):

$$g_{sc} = m \frac{A_n h_s}{c_s} + n \quad (2)$$

where  $g_{sc}$  is the stomatal conductance for  $\text{CO}_2$ ,  $A_n$  is the net  $\text{CO}_2$  assimilation,  $h_s$  is the relative humidity of air at the leaf surface,  $c_s$  is the  $\text{CO}_2$  mole fraction of dry air at the leaf surface and  $m$  and  $n$  are the slope and intercept of the linear model. Linear regression analysis was done separately for each branch bag, using quality-filtered  $g_{s,obs}$  and  $h_s$  data. The obtained slopes and intercepts were then used to calculate  $g_{s,mod}$  over a dataset with  $h_s$  calculated without the water vapour data quality filters and gap filled with relative humidity ( $h$ ) sensor data for periods with WVIA instrument failure. Linear regression analysis led to  $m = 3.0$  and  $n = 15 \text{ mmol}$

$\text{m}^{-2} \text{ s}^{-1}$  [ $r^2 = 0.28$ , root mean square error (RMSE) =  $0.026$  and  $P < 0.0001$ ] for BB1,  $m = 3.0$  and  $n = 34 \text{ mmol m}^{-2} \text{ s}^{-1}$  ( $r^2 = 0.23$ , RMSE =  $0.049$  and  $P < 0.0001$ ) for BB2 and  $m = 2.7$  and  $n = 25 \text{ mmol m}^{-2} \text{ s}^{-1}$  ( $r^2 = 0.15$ , RMSE =  $0.036$  and  $P < 0.0001$ ) for BB3. An analysis of the model residuals indicated no dependencies on model input parameters. A model sensitivity analysis further indicated that  $A_n$  ( $r^2 > 0.85$ ) and  $c_s$  ( $r^2 > 0.6$ ) were stronger drivers of  $g_{s,mod}$  than  $h_s$  ( $r^2 < 0.45$ ), fostering robust  $g_s$  predictions. We point out that the majority of filtered  $g_{s,obs}$  data was not *per se* erroneous (apart from non-detected condensation events), but potentially impaired by issues of measurement precision, likely to originate from heterogeneous  $g_s$  for  $\approx 200$  leaves and the sensitivity of the  $g_s$  calculation to small errors in leaf temperature or other input variables.

### Model parametrization

Three different versions of the Farquhar *et al.* (1982) model were used. The comprehensive model ( $^{13}\Delta_{comp}$ ) describes the net  $^{13}\Delta$  in  $\text{C}_3$  plants as the sum of relatively small, successive  $^{13}\text{C}$  discriminations during  $\text{CO}_2$  diffusion from the atmosphere to the chloroplast and a large  $^{13}\text{C}$  discrimination related to  $\text{CO}_2$  fixation, minus the  $^{13}\text{C}$  discriminations associated with photorespiration and mitochondrial day respiration:

$$^{13}\Delta_{comp} = a_b \frac{c_a - c_s}{c_a} + a \frac{c_s - c_i}{c_a} + (e_s + a_1) \frac{c_i - c_c}{c_a} + b \frac{c_c}{c_a} - f \frac{\Gamma^*}{c_a} - e \frac{R_{day}}{A_n + R_{day}} \frac{c_c - \Gamma^*}{c_a} \quad (3)$$

where  $c_a$ ,  $c_s$ ,  $c_i$  and  $c_c$  are the  $\text{CO}_2$  mole fractions in the chamber surrounding the branch, at the leaf surface, in the intercellular spaces before  $\text{CO}_2$  enters into solution and at the sites of carboxylation, respectively,  $R_{day}$  is the mitochondrial dark respiration in the light,  $a_b$  (2.9‰) and  $a$  (4.4‰) are the fractionations associated with  $\text{CO}_2$  diffusion through the leaf boundary layer and the stomata,  $e_s$  (1.1‰) represents the equilibrium fractionation occurring as  $\text{CO}_2$  enters into solution,  $a_1$  (0.7‰) is the fractionation associated with diffusion of dissolved  $\text{CO}_2$  in the liquid phase,  $b$  is the net discrimination occurring during carboxylations in  $\text{C}_3$  plants;  $f$  is the fractionation occurring during photorespiration (see Farquhar *et al.* 1982; Farquhar & Richards 1984; Farquhar *et al.* 1989 and references therein) and  $e$ , the fractionation during  $R_{day}$ , designates the offset between the isotope ratios of the current assimilates and  $R_{day}$  (Ghashghaie *et al.* 2003; Tcherkez *et al.* 2011b). Wingate *et al.* (2007) extended  $^{13}\Delta_{comp}$  by introducing  $e^*$ , an apparent fractionation factor for  $R_{day}$ , expressing the difference between the isotope ratios of the current assimilates and the respiratory substrate at a given time. It is included into  $^{13}\Delta_{comp}$  as follows:

$$^{13}\Delta_{revised} = a_b \frac{c_a - c_s}{c_a} + a \frac{c_s - c_i}{c_a} + (e_s + a_1) \frac{c_i - c_c}{c_a} + b \frac{c_c}{c_a} - f \frac{\Gamma^*}{c_a} - (e + e^*) \frac{R_{day}}{A_n + R_{day}} \frac{c_c - \Gamma^*}{c_a} \quad (4)$$

The most simplified version of the  $^{13}\Delta$  model ( $^{13}\Delta_{\text{simple}}$ ) neglects the isotope effects associated with  $\text{CO}_2$  transfer through the leaf boundary layer as well as photorespiratory and respiratory decarboxylations, and accounts partly for the isotope effects associated with internal  $\text{CO}_2$  transfer by using a lower value for  $b$  ( $\bar{b} \approx 27\%$ ) (Farquhar & Richards 1984):

$$^{13}\Delta_{\text{simple}} = a + (\bar{b} - a) \frac{c_i}{c_a} \quad (5)$$

Recently, Farquhar & Cernusak (2012) introduced correction terms for ternary effects on  $^{13}\Delta$  calculations, to be consistent with the commonly applied ternary effect corrections for gas exchange calculations (von Caemmerer & Farquhar 1981). They showed that such corrections were small compared with the situation where no correction was applied to both the gas exchange and  $^{13}\Delta$ . The impact of ternary corrections on model calibration and behaviour was tested for the  $^{13}\Delta_{\text{comp}}$  model. Equations including these ternary corrections are given in the appendix (Eqns A14 and A15). However for the most part of this study, we chose not to apply any ternary correction in the gas exchange and  $^{13}\Delta$  calculations.  $\text{CO}_2$  mole fractions at the leaf surface, in the intercellular spaces and at the sites of carboxylation were then calculated as:

$$c_s = c_a - \frac{A_n}{g_b}; c_i = c_s - \frac{A_n}{g_s}; c_c = c_i - \frac{A_n}{g_i} \quad (6)$$

where  $g_b$ ,  $g_s$  and  $g_i$  denote boundary layer, stomatal and internal conductances to  $\text{CO}_2$ . For the  $^{13}\Delta_{\text{comp}}$  model (Eqn 3), we also tested the impact of a leaf temperature dependency of  $g_i$  (e.g. Bernacchi *et al.* 2002; Warren & Dreyer 2006; Evans & von Caemmerer 2013) on model calibration and behaviour. In this case,  $c_c$  was calculated as

$$c_c = c_i - \frac{A_n}{g_i^{25} * Q_{10}^{\left(\frac{T_{\text{leaf}} - 25}{10}\right)}} \quad (7)$$

where  $T_{\text{leaf}}$  denotes leaf temperature in  $^{\circ}\text{C}$  and  $Q_{10}$  is assumed to be 2.0 (Bernacchi *et al.* 2002). The  $\text{CO}_2$  compensation point in the absence of  $R_{\text{day}}$  ( $\Gamma^*$ ) was modelled as a function of leaf temperature according to Bernacchi *et al.* (2001):

$$\Gamma^* = \Gamma_{25^{\circ}\text{C}}^* \exp\left(\frac{\Delta H_a}{R_m} \left(\frac{1}{298.15} - \frac{1}{T_{\text{leaf,K}}}\right)\right) \quad (8)$$

where  $\Gamma_{25^{\circ}\text{C}}^*$  is the  $\Gamma^*$  at  $25^{\circ}\text{C}$  ( $42.75 \mu\text{mol mol}^{-1}$ );  $T_{\text{leaf,K}}$  is the leaf temperature in K;  $\Delta H_a$  represents the activation energy for photorespiratory processes ( $37.83 \text{ kJ mol}^{-1}$ ), and  $R_m$  is the ideal gas constant.  $R_{\text{day}}$  was modelled, using  $Q_{10}$  functions derived from exponential fits of nocturnal branch respiration ( $R_{\text{night}}$ ) versus leaf temperature ( $T_{\text{leaf}}$ ) assuming a 50% inhibition (Atkin *et al.* 2005; Tcherkez *et al.* 2005) of  $R_{\text{day}}$  compared with  $R_{\text{night}}$ :

$$R_{\text{day}} = 0.5 \left( R_{\text{ref}} Q_{10}^{\frac{T_{\text{leaf}} - T_{\text{ref}}}{10}} \right) \quad (9)$$

where  $R_{\text{ref}}$  represents  $R_{\text{night}}$  at a  $T_{\text{leaf}} = T_{\text{ref}}$ . Fitted  $Q_{10}$  values were 1.92 (BB1), 2.29 (BB2) and 2.05 (BB3).

## Model calibration

A Bayesian approach was used for model calibration that had the advantage of providing quantitative measures of uncertainty and correlation among the calibrated parameters, in addition to parameter estimates (Van Oijen *et al.* 2005). The following variables (called model parameters hereafter) was included in this calibration exercise:  $g_i$  (or  $g_i^{25}$ ),  $b$  (or  $\bar{b}$ ),  $f$ ,  $e$  and  $e^*$ . Initial (*a priori*) values and uncertainties for each parameter were prescribed and used to compute uniform prior probability distributions (uninformative priors). Model calibration was then performed by maximizing the negative logarithm of a likelihood function, that quantifies the probability that the observed data was generated by a particular parameter set of a given model (Schoups & Vrugt 2010). By application of Bayes' theorem, a posterior probability distribution for all parameters was obtained. A Markov Chain Monte Carlo (MCMC) algorithm was used to approximate the posterior probability distribution, by drawing a large representative sample from the parameter space (Van Oijen *et al.* 2005). All computational steps were conducted with the Differential Evolution Adaptive Metropolis (DREAM, version 1.4) algorithm encoded in MATLAB (The MathWorks Inc.) from Vrugt *et al.* (2009). We further computed the so-called model predictive uncertainty using an algorithm introduced by Schoups & Vrugt (2010), that accounts for uncertainties in the measurements, the model input and parameters and the model structure, without separating out the various error sources. In this paper, the model predictive uncertainty is characterized by the 2.5 and 97.5 percentiles.

*A priori* values for  $\bar{b}$ ,  $b$ ,  $f$ ,  $e$  and  $e^*$  were derived from the literature as follows:  $27 \pm 1\%$  for  $\bar{b}$  (Farquhar & Richards 1984; Farquhar *et al.* 1989), 26–30% for  $b$  (Roeske & O'Leary 1984; Guy *et al.* 1993; McNevin *et al.* 2007; Lanigan *et al.* 2008), 8–12% for  $f$  (Igamberdiev *et al.* 2004; Tcherkez 2006; Lanigan *et al.* 2008), –6 to 0% or not known for  $e$  (Ghashghaie *et al.* 2003; Tcherkez *et al.* 2011b), –10 to 0% for  $e^*$  (Wingate *et al.* 2007). For the calibration of  $^{13}\Delta_{\text{revised}}$ , either  $g_i$ ,  $b$ ,  $f$ ,  $e$  and  $e^*$  were all estimated simultaneously or only  $e^*$  was estimated, while  $g_i$ ,  $b$ ,  $f$  and  $e$  were set to fixed values. Since  $e^*$  was expected to vary over the day, the complete  $^{13}\Delta_{\text{obs}}$  dataset was binned according to the time of the day (leading to 13 subsets spread between 0600 and 1900 h CET) and a different value of  $e^*$  was estimated for each time of the day.

Warren *et al.* (2007) reported  $g_i$  ranging from 0.14 to  $0.24 \text{ mol m}^{-2} \text{ s}^{-1}$  for mature beech trees. Relying on the above *a priori* values for  $\bar{b}$ ,  $b$ ,  $f$  and  $e$ , we *a priori* tested that  $g_i$  range with our data using both the slope method introduced by Evans *et al.* (1986) and the single point method of Lloyd *et al.* (1992). Both methods rely on the assumptions that  $^{13}\Delta$  at infinite  $g_i$  ( $^{13}\Delta_i$ ) can be approximated by  $^{13}\Delta_{\text{simple}}$  (using  $b$  instead of  $\bar{b}$ ) and that  $^{13}\Delta_{\text{obs}}$  is entirely predicted by  $^{13}\Delta_{\text{comp}}$ . Data points with  $^{13}\Delta_i < ^{13}\Delta_{\text{obs}}$  were excluded from  $g_i$  estimation, as both methods would otherwise lead to unrealistic

$g_i$  values (Bickford *et al.* 2010; Douthe *et al.* 2011). Derived *a priori* values for  $g_i$  were in the range of 0.16 to 0.36 mol  $\text{m}^{-2} \text{s}^{-1}$ , consistent with Warren *et al.* (2007), but also suggesting the use of a wider prior parameter uncertainty (0.1 to 0.5 mol  $\text{m}^{-2} \text{s}^{-1}$ ) for model calibrations.

We always conducted two parallel model calibrations on each branch bag, using either  $g_{\text{s,obs}}$  or  $g_{\text{s,mod}}$  for  $c_i$  calculations. As explained above, the stringent data filtering on  $g_{\text{s,obs}}$  had caused an underrepresentation of midday data. The additional use of modelled  $g_s$  ( $g_{\text{s,mod}}$ ) allowed a better description of the diurnal patterns (including middays), and thus enabled more representative flux-weighted daily means. The subsequent comparison of the  $g_{\text{s,obs}}$  and  $g_{\text{s,mod}}$  approaches allowed us to check the sensitivity of the model calibration to the  $g_s$  dataset. In the following, we will only show results using  $g_{\text{s,mod}}$ , but any difference in model parameter estimates and correlations will be discussed explicitly. Predictions of  $^{13}\Delta$  from Eqn 3 using either  $g_{\text{s,obs}}$  or  $g_{\text{s,mod}}$  are also shown for each single day in the Supporting Information Figs S4 to S13.

## RESULTS

### Temporal and spatial variability of $^{13}\Delta_{\text{obs}}$

Branch bag measurements of  $^{13}\Delta_{\text{obs}}$  exhibited a high short-term variability with often large differences between two consecutive measurements (Fig. 1). This short-term variability, usually linked to rapid changes in PAR, was however embedded in a regular diurnal time course of  $^{13}\Delta_{\text{obs}}$ , characterized by high  $^{13}\Delta_{\text{obs}}$  during morning and evening hours and low  $^{13}\Delta_{\text{obs}}$  at midday. Low  $^{13}\Delta_{\text{obs}}$  were associated with high net  $\text{CO}_2$  assimilation ( $A_n$ ) and vice versa (data not shown). Spatial or between-tree (BB1 to BB3) variability was also present (Fig. 1e,h,k), but the overall diurnal patterns of  $^{13}\Delta_{\text{obs}}$  from the three branch bags were fairly consistent. A pronounced day-to-day variability of  $^{13}\Delta_{\text{obs}}$  was observed as well (Fig. 1d,e,f). It primarily reflected changes in environmental conditions, as illustrated in Fig. 1 for only three diurnals but with very distinctive PAR regimes (mixed, sunny and cloudy).

### Model performance of $^{13}\Delta_{\text{simple}}$ and $^{13}\Delta_{\text{comp}}$

For these three example days both  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  models tracked the short-term variability of  $^{13}\Delta_{\text{obs}}$  reasonably well (Fig. 1). The model predictive uncertainty (Fig. 1, shaded areas) of both  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  always encompassed  $^{13}\Delta_{\text{obs}}$  values but was systematically more spread for  $^{13}\Delta_{\text{simple}}$ , especially for BB1, indicating a larger prediction uncertainty of the  $^{13}\Delta_{\text{simple}}$  model. The quantification of the overall  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  model performances for the entire field campaign (Table 2) showed that  $^{13}\Delta_{\text{comp}}$  was in general a better predictor of  $^{13}\Delta_{\text{obs}}$  than  $^{13}\Delta_{\text{simple}}$ . For all 3 branch bags,  $^{13}\Delta_{\text{comp}}$  showed a higher maximum likelihood and a lower RMSE than  $^{13}\Delta_{\text{simple}}$  as well as better regression slopes and intercepts (Table 2).

### $^{13}\Delta_{\text{simple}}$ and $^{13}\Delta_{\text{comp}}$ model performances on diurnal timescales

The calculation of hourly means of observed and predicted  $^{13}\Delta$  over the entire field campaign (Fig. 2) emphasised the

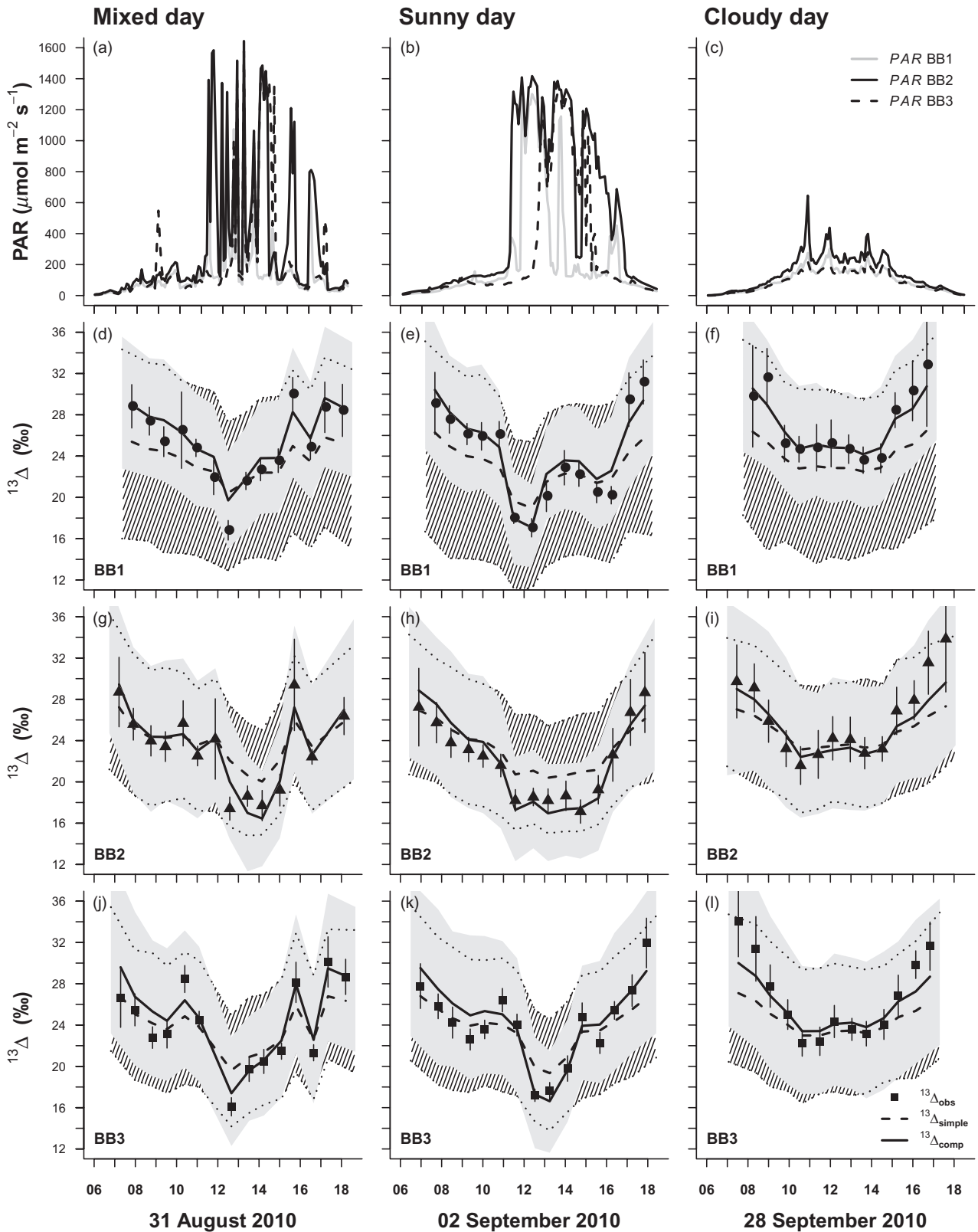
consistent diurnal pattern for  $^{13}\Delta_{\text{obs}}$  already shown in Fig. 1. This mean diurnal variability of  $^{13}\Delta_{\text{obs}}$  was well predicted by  $^{13}\Delta_{\text{comp}}$ , while  $^{13}\Delta_{\text{simple}}$  predicted more damped diurnal variations, irrespective of the values used for the model parameters. When setting the  $\bar{b}$  in  $^{13}\Delta_{\text{simple}}$  to the maximum likelihood estimates for calibrated model parameters (MLE) of 28‰ (Table 2), mean  $^{13}\Delta_{\text{obs}}$  was underestimated during morning and late afternoon hours and generally overestimated during midday (BB2 and BB3, 1100 to 1400 h CET) by about 1.5‰. When using the more commonly reported  $\bar{b}$  value of 27‰ for  $^{13}\Delta_{\text{simple}}$  predictions, the midday overestimation decreased to about 0.5‰ for BB2 and BB3, while morning and afternoon predictions became worse for all branch bags (data not shown). Hourly means of the model predictive uncertainty (Fig. 2, shaded areas) also suggested greater predictive power for  $^{13}\Delta_{\text{comp}}$  than for  $^{13}\Delta_{\text{simple}}$ , especially for BB1. Analysis of the mean diurnal variability further showed that both  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  predicted dawn observations slightly better than dusk observations by about 1‰ on average.

### $^{13}\Delta_{\text{simple}}$ and $^{13}\Delta_{\text{comp}}$ model performances on day-to-day timescales

Day-to-day model performances were examined by comparing flux-weighted daily means of  $^{13}\Delta_{\text{obs}}$  with those of  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  (Fig. 3). Flux-weighted daily means should provide a reasonable approximation of the daily integrated imprint of  $^{13}\Delta$  on photosynthetic assimilates, and their assessment may thus benefit model choice for prospective model applications at daily resolutions. Between August and October, flux-weighted daily means of  $^{13}\Delta_{\text{obs}}$  exhibited a steady increase that was less pronounced in the modelled values. Indeed, both models tended to overestimate  $^{13}\Delta_{\text{obs}}$  in early August and underestimate  $^{13}\Delta_{\text{obs}}$  in late September. This finding explained most of the deviation between predicted and observed values for  $^{13}\Delta_{\text{comp}}$  (Table 2), despite the good model performance of  $^{13}\Delta_{\text{comp}}$  over mean diurnal time courses (Fig. 2). Nonetheless, flux-weighted daily means of  $^{13}\Delta_{\text{comp}}$  tracked observed day-to-day variations considerably better than flux-weighted daily means of  $^{13}\Delta_{\text{simple}}$  (Fig. 3), despite little difference in RMSE values.

### $^{13}\Delta_{\text{simple}}$ and $^{13}\Delta_{\text{comp}}$ parameter constraint and correlation

To be able to characterize the constraint on  $b$  in  $^{13}\Delta_{\text{comp}}$ , a relatively loose prior parameter uncertainty range had to be used (27–33‰) and MLE values for this parameter were subsequently found from 30.3 to 32.9‰ for the three branch bags (Table 2). These estimates of the fractionation factor  $b$  are slightly beyond the physiological range found by biochemical enzyme assays, but if the upper *a priori* bound for  $b$  was restricted to 30‰,  $b$  was never constrained and assigned to its upper bound. Similarly, for  $^{13}\Delta_{\text{simple}}$ ,  $\bar{b}$  was never constrained within the *a priori* limits and showed a clear tendency towards the upper bound with a resulting MLE of 28‰ for all three branch bags. In contrast,  $g_i$  in  $^{13}\Delta_{\text{comp}}$  was well constrained with MLE values ranging between 0.15 and 0.18 mol  $\text{m}^{-2} \text{s}^{-1}$  depending on the branch bag data used (Table 2).



**Figure 1.** Photosynthetically active radiation (a to c) alongside observed and predicted  $^{13}\Delta$  (d to l; showing data for three branch bags, BB1 to BB3) for three example days during the 2010 field campaign. Time axis unit is hour in CET. Symbol error bars represent  $\pm$  one propagated SD for each  $^{13}\Delta_{\text{obs}}$  measurement. The outer bounds of the model predictive uncertainty, characterized by the 2.5 and 97.5 percentiles ( $Y_{2.5}$  and  $Y_{97.5}$ ) are shown as hatched areas for  $^{13}\Delta_{\text{simple}}$  and as shaded grey areas for  $^{13}\Delta_{\text{comp}}$ .  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  model predictions were calculated using MLE shown in Table 2.

**Table 2.** Summary of the <sup>13</sup>Δ<sub>simple</sub> and <sup>13</sup>Δ<sub>comp</sub> model calibration inputs and outputs as well as model performance measures for the *g<sub>s,mod</sub>* approach

Model	PM	BB	Prior parameter uncertainty ranges		Posterior parameter estimates		Posterior parameter uncertainty ranges		Model performance				
			Min	Max	MLE	Mean	P 2.5	P 97.5	Slope	Int.	r <sup>2</sup>	max ln L	RMSE
Simple	$\bar{b}$	1	26	28	28.0	27.9	27.8	28.0	0.45	12.0	0.70	-1405	3.8
		2			28.0	27.8	27.5	28.0	0.47	12.8	0.71	-1400	2.9
		3			28.0	28.0	27.8	28.0	0.44	13.0	0.67	-1497	3.4
Comprehensive	$g_i$	1	0.10	0.50	0.15	0.16	0.13	0.19					
		2			0.18	0.19	0.16	0.22					
		3			0.18	0.18	0.15	0.23					
	$b$	1	27	33	32.9	32.8	32.3	32.9					
		2			30.3	30.4	29.7	31.2					
		3			31.1	31.4	30.6	32.1					
	$f$	1	8	12	8.0	8.6	8.0	10.1					
		2			8.1	8.4	8.0	9.5					
		3			8.0	8.5	8.0	9.7					
	$e$	1	-6	+6	-5.4	-4.2	-5.9	-0.1	0.81	5.0	0.71	-1275	2.6
		2			-5.7	-5.2	-6.0	-3.6	0.86	3.0	0.71	-1279	2.4
		3			-6.0	-5.5	-6.0	-4.0	0.76	5.9	0.67	-1400	2.7

Slopes and intercepts were calculated using standard major axis – regression (SMA, model2 – regression);  $r^2$  was calculated with ordinary least square regression. Unit for  $g_i$  is mol m<sup>-2</sup> s<sup>-1</sup>; all other parameters expressed in ‰.

PM, estimated parameters; BB, branch bag; MLE, maximum likelihood estimate; P 2.5 and P 97.5, 2.5 and 97.5 percentiles for posterior parameter distributions; Int., intercept; max ln L, maximum of the natural logarithm of the negative likelihood; RMSE, root mean squared error.

The parameters  $f$  and  $e$  in <sup>13</sup>Δ<sub>comp</sub> could not be constrained, even with looser prior parameter uncertainty ranges. This is illustrated in Fig. 4 where the posterior distributions of  $g_i$ ,  $b$ ,  $f$  and  $e$  obtained from two different <sup>13</sup>Δ<sub>comp</sub> model calibration approaches are compared. If the prior parameter uncertainty ranges of  $f$  and  $e$  were set to previously reported values (8 to 12‰ for  $f$  and -6 to 6‰ for  $e$ ), both parameters showed clear tendencies towards the specified lower limit (Fig. 4, left panels). If prior parameter uncertainty ranges were then considerably broadened, generally no constrained estimates were found for  $f$  and  $e$ . Only for BB2, a very weakly constrained estimate was found for  $e$ , yet well beyond the expected range (Fig. 4, right panels). We thus concluded that our measurement data contained only very little information about the fractionation factors  $f$  and  $e$ .

The  $g_i$  and  $b$  parameters also showed a dependency on  $f$  and  $e$  (Fig. 4). If  $f$  and  $e$  were free to resume values beyond their assumed physiological range (Fig. 4; right panel), the estimates of  $g_i$  and  $b$  decreased (Fig. 4, right panels). These dependencies between model parameters are quantified in Table 3, using parameter correlation coefficients obtained from the <sup>13</sup>Δ<sub>comp</sub> model calibration. The parameters  $g_i$  and  $b$  displayed a negative correlation that reflected their unidirectional effects on the term  $b \frac{C_c}{C_a}$  in Eqn 3 (i.e. the higher  $g_i$  or  $b$ , the higher predictions of <sup>13</sup>Δ<sub>comp</sub>). In contrast, we observed positive correlations between  $g_i$  and  $f$  and between  $b$  and  $e$ , indicating opposing effects of each pair on <sup>13</sup>Δ<sub>comp</sub> that explained the dependency of  $g_i$  and  $b$  on  $f$  and  $e$ . The relative importance of the different terms in Eqn 3 (see below) further explained why only large changes of  $f$  and  $e$ , well

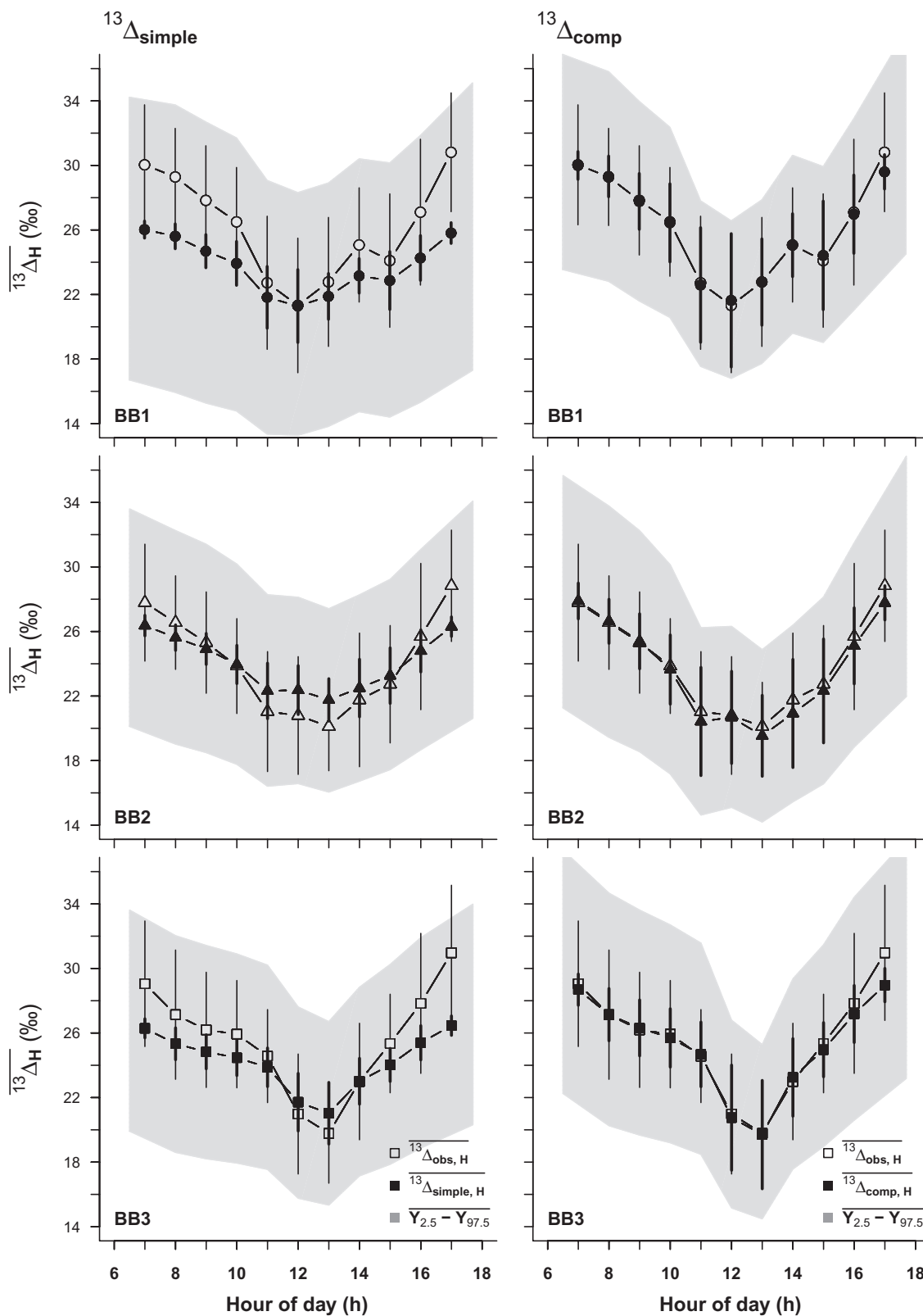
beyond their expected range, could improve the predictive power of <sup>13</sup>Δ<sub>comp</sub>.

### <sup>13</sup>Δ<sub>comp</sub> sensitivity analysis

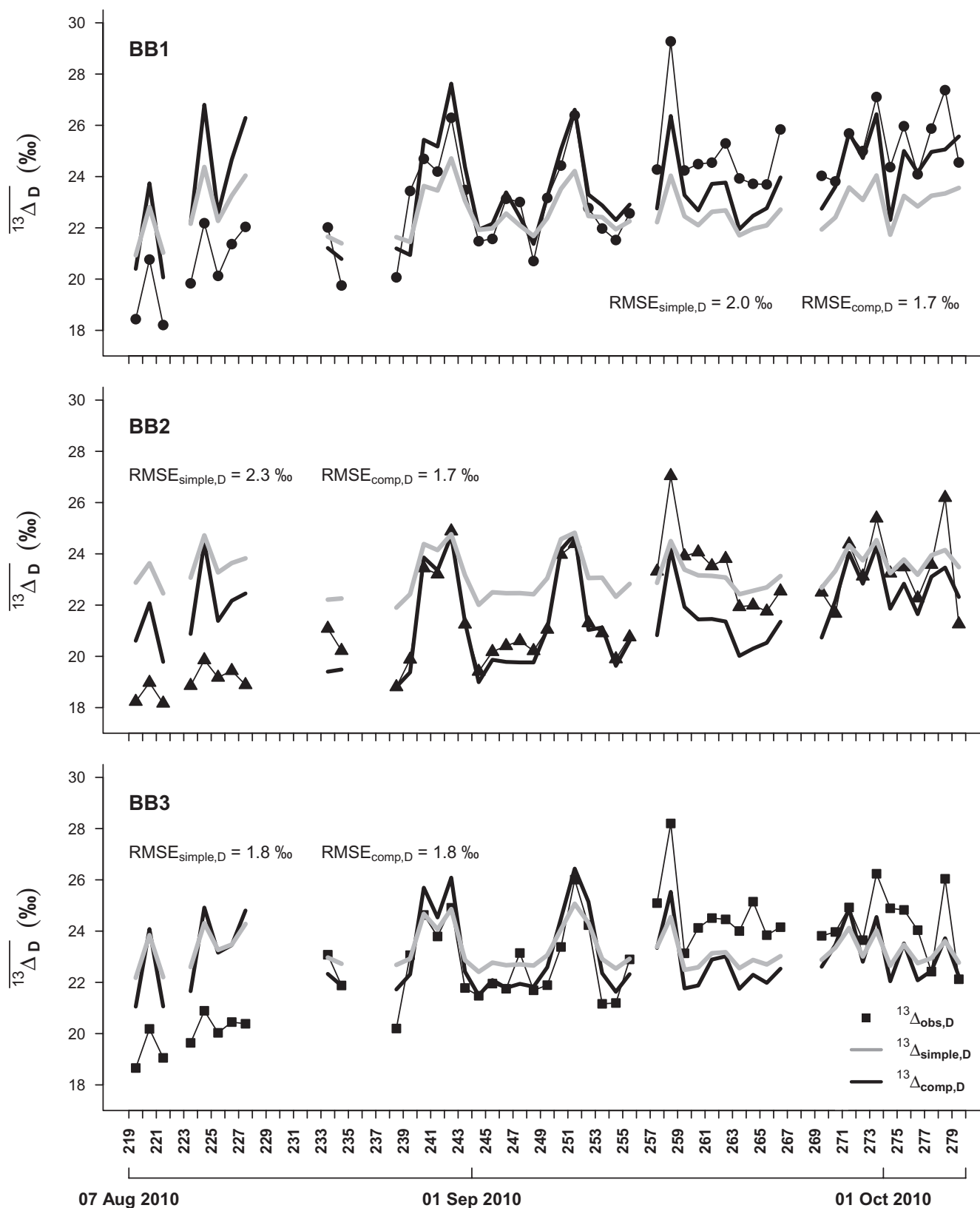
To evaluate <sup>13</sup>Δ<sub>comp</sub> model performance on the basis of literature values, an additional sensitivity analysis was done, using variable values for  $b$  or  $g_i$ , and fixed values for  $f$  (11‰) and  $e$  (-6‰). Results for BB3 that exhibited an intermediate MLE for  $b$  (Table 2) are shown as an example (Fig. 5). When using a commonly accepted  $b$  value of 29‰ and a probable  $g_i$  value of 0.2 mol m<sup>-2</sup> s<sup>-1</sup> (Fig. 5, black dashed lines), the resulting RMSE (3.5‰) was only 0.8‰ greater than the RMSE shown in Table 2 and flux-weighted daily means were about 2‰ lower than with the fully calibrated <sup>13</sup>Δ<sub>comp</sub> model fits (Fig. 3), improving model performance at the beginning of August, but degrading it towards the end of the field campaign (Fig. 5, right panels).

The sensitivity analysis further showed how the interdependency between  $b$  and  $g_i$  influenced model behaviour and thus model calibration results (Fig. 5, left panels). An increase in  $g_i$  or  $b$  analogously improved <sup>13</sup>Δ<sub>comp</sub> model performance during midday. On the contrary, morning and afternoon predictions of <sup>13</sup>Δ<sub>comp</sub> were only improved with increasing  $b$ , but not with increasing  $g_i$ , after a certain threshold was reached. Hence, the RMSE decreased by only 0.1‰, if  $g_i$  was increased from 0.3 to 0.6 mol m<sup>-2</sup> s<sup>-1</sup>. This model behaviour explained our finding that the MLE of  $g_i$  did not increase when restricting the upper prior parameter uncertainty bound of  $b$  to 30‰ (data not shown), despite their large unidirectional effects on the term  $b \frac{C_c}{C_a}$  of <sup>13</sup>Δ<sub>comp</sub>.

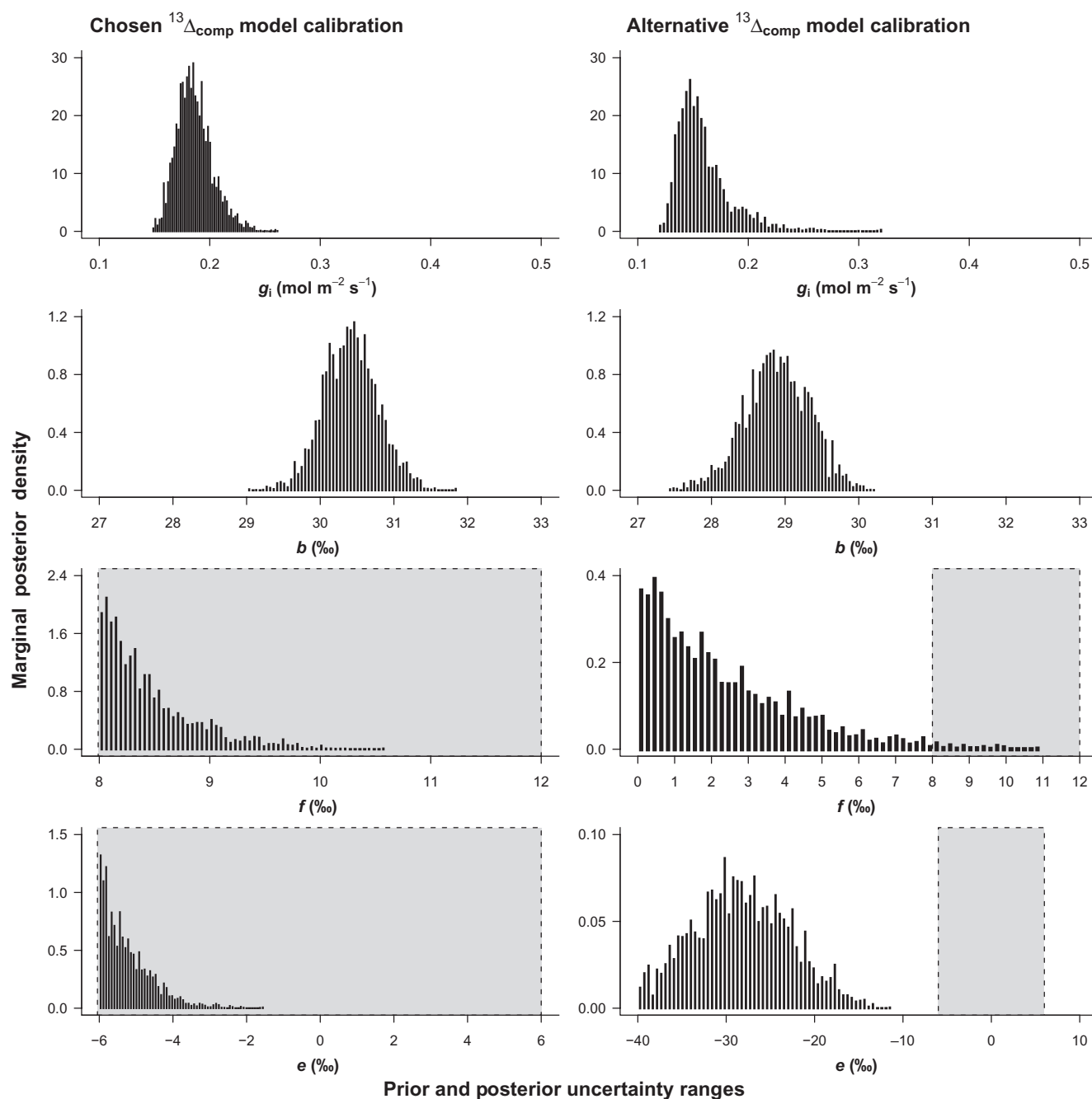




**Figure 2.** Mean diurnal variation of  $^{13}\Delta_{\text{obs}}$  and corresponding model predictions for  $^{13}\Delta_{\text{simple}}$  (left panel) and  $^{13}\Delta_{\text{comp}}$  (right panel) for all days of the 2010 field campaign. Horizontal panels display data from three branch bags (BB1 to BB3). Error bars are  $\pm$  one SD and represent day-to-day variability for  $^{13}\Delta_{\text{obs}}$ ,  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$ . Shaded areas represent mean diurnals of the outer bounds of the model predictive uncertainty, characterized by the 2.5 and 97.5 percentiles ( $Y_{2.5}$  and  $Y_{97.5}$ ).  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  model predictions were calculated using MLE shown in Table 2.



**Figure 3.** Flux-weighted daily arithmetic means of observed (symbols) and predicted (lines)  $^{13}\Delta$  for the 2010 field campaign. Panels display data from the three branch bags (BB1 to BB3). Time axis unit is day of year.  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  model predictions were calculated using MLE shown in Table 2. RMSE<sub>D</sub> denote RMSE calculated based on flux-weighted daily arithmetic means (instead of single values).



**Figure 4.** Marginal posterior densities of  $g_i$ ,  $b$ ,  $f$  and  $e$ , obtained from two different  $^{13}\Delta_{\text{comp}}$  model calibration approaches. Left panels: model calibration approach shown in Fig. 1–3 with prior parameter uncertainty ranges for  $f$  and  $e$  set to values shown in Table 2. Right panels: alternative model calibration approach with broadened prior parameter uncertainty ranges for  $f$  and  $e$ . Shaded areas illustrate the different prior parameter uncertainty ranges used in these two approaches. All calculations were made with  $g_{\text{smod}}$ . Model output for BB2 was chosen as an example. Note: The dispersions on the  $x$ -axis of the marginal posterior densities shown in the left panels correspond to the posterior parameter uncertainty ranges reported in Table 2.

### Impact of a temperature dependency for $g_i$

Implementing a temperature dependency of  $g_i$  ( $g_i^{25}$ ) into  $^{13}\Delta_{\text{comp}}$  led to a 0.6‰ decrease in  $b$  for BB2 and BB3, characterized by high midday temperatures, and no change in  $b$  for the more shaded BB1. While the constraint of  $f$  did not improve with  $g_i^{25}$ , its MLE increased to  $\approx 10\%$ , except for BB1

(Table S5 in the SI). The correlation between  $b$  and  $g_i$  and  $b$  and  $e$  became even stronger with  $g_i^{25}$ . In contrast, the positive correlation between  $g_i$  and  $f$  completely disappeared for BB2 and BB3, and became negative for BB1 (Supporting Information Table S6). In addition, a new negative correlation between  $g_i$  and  $e$  appeared. Most importantly, a temperature-dependent  $g_i$  led to worse  $^{13}\Delta_{\text{comp}}$  model performance and did

**Table 3.** Correlation matrix of the calibrated model parameters of  $^{13}\Delta_{\text{comp}}$  for the  $g_{\text{s,mod}}$  approach

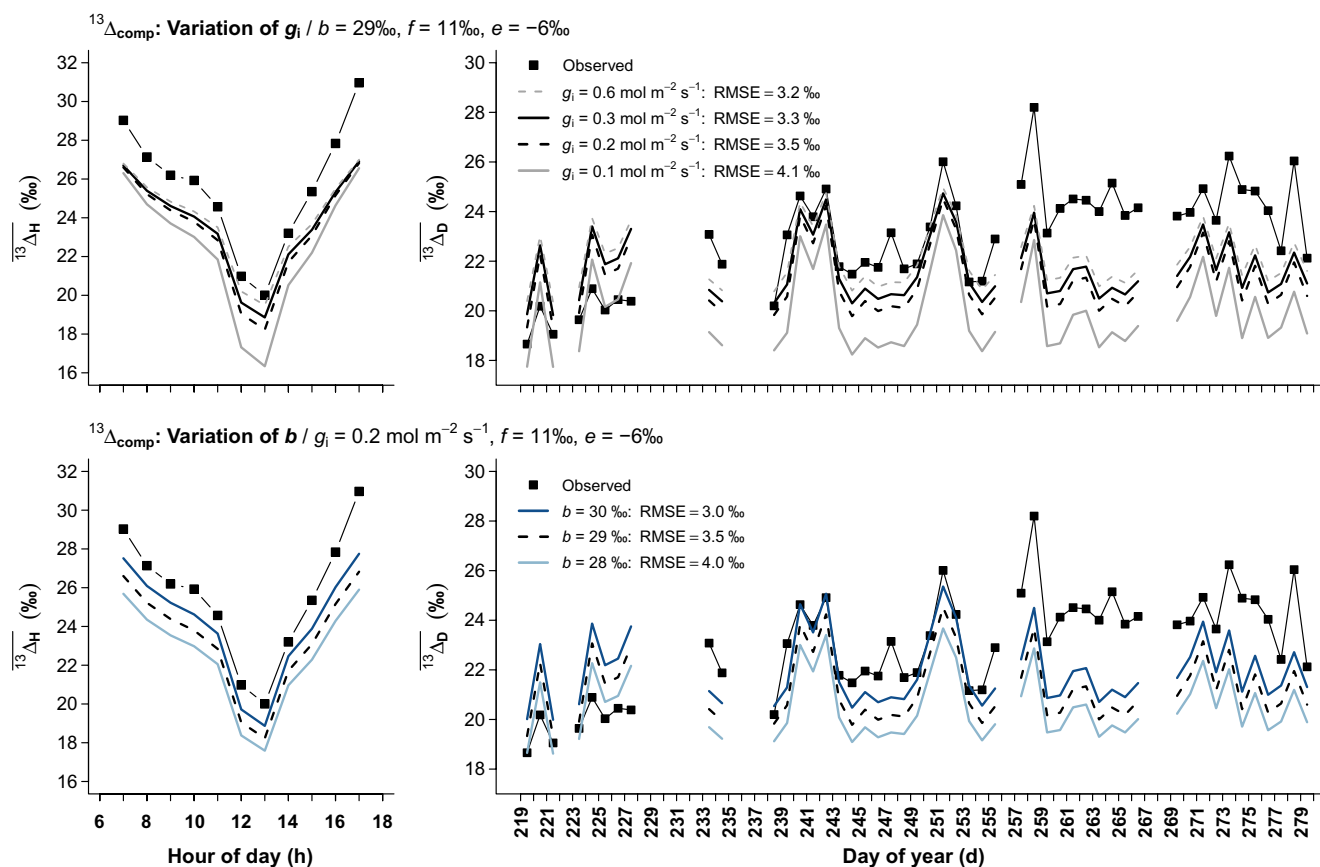
$R$	$g_i$	$b$	$f$	$e$
$g_i$	BB1			
	BB2			
	BB3			
$b$	-0.39	BB1		
	-0.26	BB2		
	-0.18	BB3		
$f$	0.33	0.08	BB1	
	0.39	-0.03	BB2	
	0.34	0.14	BB3	
$e$	-0.06	0.14	-0.08	BB1
	-0.04	0.14	0.00	BB2
	-0.02	0.16	0.09	BB3

Prior parameter uncertainty ranges are identical to Table 2. Correlations are evaluated during repeated sampling from the posterior probability space and expressed by  $R$  values.

not improve the overall parameter constraint (Supporting Information Table S5).

### $^{13}\Delta_{\text{revised}}$ model calibration

In order to explore whether there was a consistent pattern for the apparent fractionation factor  $e^*$  over the course of the diurnal cycle, we conducted three different model calibration approaches for  $^{13}\Delta_{\text{revised}}$ . Firstly,  $g_i$ ,  $b$ ,  $f$  and  $e$  were fixed to the MLE obtained for  $^{13}\Delta_{\text{comp}}$  (Table 2) and distinct  $e^*$  estimates were sought for each hour of the day, choosing a prior parameter uncertainty range for  $e^*$  between  $-10$  and  $0\text{‰}$ . No consistent diurnal pattern of  $e^*$  was found and  $e^*$  was never constrained beyond its prior parameter uncertainty range for any hour of the day in any branch bag (not shown). Similar results were obtained when the parameters  $g_i$ ,  $b$ ,  $f$  and  $e$  were not fixed. Given the positive correlation between  $b$  and  $e$ , we further tested the effect of a lower  $b$  value on the estimation of  $e^*$ , using fixed parameter values of  $g_i = 0.2 \text{ mol m}^{-2} \text{ s}^{-1}$ ,  $b = 29\text{‰}$ ,  $f = 11\text{‰}$  and  $e = -6\text{‰}$ , but this did not result in more constrained estimates of  $e^*$ .



**Figure 5.** Sensitivity analysis for  $^{13}\Delta_{\text{comp}}$ . Either  $g_i$  (upper panel) or  $b$  (lower panel) is varied, while other parameters are fixed. Left panels show the mean diurnal variation of  $^{13}\Delta_{\text{obs}}$  and different versions of  $^{13}\Delta_{\text{comp}}$  for all days during the 2010 field campaign. Right panels show the corresponding flux-weighted daily arithmetic means of  $^{13}\Delta_{\text{obs}}$  and  $^{13}\Delta_{\text{comp}}$  for all days of the 2010 field campaign. All calculations were done with  $g_{\text{s,mod}}$ . Data from BB3 were chosen as example.

### Contribution of single model terms to the mean diurnal cycle

The contribution of single model terms to the mean diurnal cycle of  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  is shown in Fig. 6 for BB3. Clearly, the better model performance of  $^{13}\Delta_{\text{comp}}$  is largely driven by the  $c_i/c_a$  term that results in a more suitable description of the diurnal time course of  $^{13}\Delta_{\text{obs}}$  compared with the  $c_i/c_a$  term in  $^{13}\Delta_{\text{simple}}$  (Fig. 6). The diffusive terms in both models generally had a small effect (<1‰), and the photorespiratory term in  $^{13}\Delta_{\text{comp}}$  played an important role in preventing midday over-estimation of  $^{13}\Delta_{\text{obs}}$  commonly observed with  $^{13}\Delta_{\text{simple}}$ . The contribution of the respiratory term was generally less than 0.2‰ for this dataset and the parameterization used.

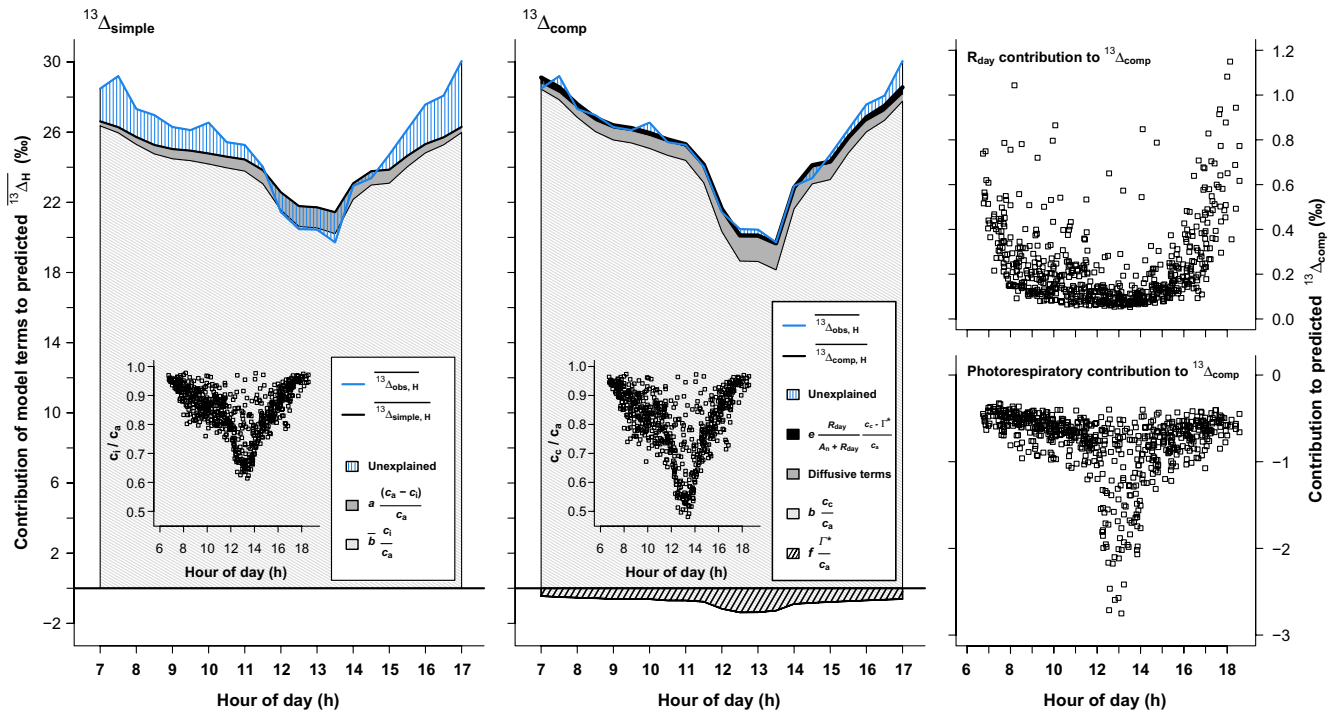
### Impact of $g_s$ dataset on model calibration

Model calibrations of  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$  mostly produced similar results if  $g_{s,\text{obs}}$  was used instead of  $g_{s,\text{mod}}$  for  $c_i$  calculations (see Supporting Information Tables S1 and S2). For  $^{13}\Delta_{\text{simple}}$ ,  $\bar{b}$  was never constrained and showed a clear tendency towards its upper bound (28‰). For  $^{13}\Delta_{\text{comp}}$ ,  $b$  values were nearly equal to that obtained with the  $g_{s,\text{mod}}$  approach, while the parameters  $f$  and  $e$  exhibited a similar behaviour to that described above, and no consistent and constrained patterns could be found for  $e^*$ . The main consequence of using

$g_{s,\text{obs}}$ , rather than  $g_{s,\text{mod}}$  was that  $g_i$  was not constrained anymore for all three branch bags with MLE values close to the upper range of  $0.50 \text{ mol m}^{-2} \text{ s}^{-1}$ . We also observed changes in the parameter correlation coefficients: the positive correlation between  $g_i$  and  $f$  disappeared and a new positive correlation between  $b$  and  $f$  was found.

### Impact of ternary effects on $^{13}\Delta_{\text{comp}}$ model calibration

In general, the inclusion of ternary effects did not change  $^{13}\Delta_{\text{comp}}$  parameter constraints and correlations for both the  $g_{s,\text{mod}}$  and the  $g_{s,\text{obs}}$  approaches, except for the case when  $b$  decreased on average by 0.9‰ and model performance became slightly worse (Supporting Information Table S3). Parameter correlations did not change for the most part when  $g_{s,\text{obs}}$  was used. With  $g_{s,\text{mod}}$ , the correlation between  $b$  and  $g_i$  became stronger (more negative), while that between  $g_i$  and  $f$  became slightly weaker (Supporting Information Table S4). In order to explore the influence of ternary corrections during changing temperature and humidity regimes, model residuals of  $^{13}\Delta_{\text{comp}}$  and  $^{13}\Delta_{\text{comp,TERN}}$  were plotted against the concurrent leaf-to-air vapour pressure deficit. The overall distribution of model residuals was, however, not different between  $^{13}\Delta_{\text{comp}}$  and  $^{13}\Delta_{\text{comp,TERN}}$  (Supporting Information Fig. S1).



**Figure 6.** Contribution of single model terms of  $^{13}\Delta_{\text{simple}}$  (Eqn 5) and  $^{13}\Delta_{\text{comp}}$  (Eqn 3) to the total predicted  $^{13}\Delta$ . Data from BB3 were chosen as example. Left and middle panels: mean diurnal contributions to  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$ . Right panels: diurnal courses of the  $^{13}\Delta_{\text{comp}}$  model terms  $e \frac{R_{\text{day}}}{A_n + R_{\text{day}}} \frac{c_c - \Gamma^*}{c_a}$  (upper panel) and  $f \frac{\Gamma^*}{c_a}$  (lower panel) for all predicted data points. Presented half-hourly means were calculated using MLE shown in Table 2.

## DISCUSSION

### <sup>13</sup>Δ<sub>comp</sub> model behaviour and parameter interdependencies

The Bayesian approach used here for calibrating models of <sup>13</sup>Δ could not provide well-constrained estimates for all model parameters. However, the method emphasized the strong drivers of the models (i.e. the model term  $b \frac{c_c}{c_a}$  and thus  $b$  and  $g_i$ ), and other drivers with only a slight impact under particular circumstances (i.e. the respiratory and photorespiratory model terms and thus  $f$ ,  $e$  or  $e^*$ ). The respiratory term  $e \frac{R_{\text{day}}}{A_n + R_{\text{day}}} \frac{c_c - \Gamma^*}{c_a}$  only played a significant role at times with low net CO<sub>2</sub> assimilation ( $A_n$ ), commonly occurring in the early morning and in the late afternoon (Fig. 6), while the photorespiratory term  $f \frac{\Gamma^*}{c_a}$  mainly decreased <sup>13</sup>Δ<sub>comp</sub> at midday (Fig. 6) when high temperatures significantly increased  $\Gamma^*$  (the term  $f \frac{\Gamma^*}{c_a}$  is preceded by a minus sign in Eqn 3).

The method and the 60-day-long dataset were ideal for studying and quantifying parameter interdependencies. A strong negative correlation between  $g_i$  and  $b$  originated from their unidirectional effects on the dominant term  $b \frac{c_c}{c_a}$ . Positive correlations between  $g_i$  and  $f$  and between  $b$  and  $e$  were also found, mainly because <sup>13</sup>Δ<sub>comp</sub> tended to underestimate <sup>13</sup>Δ<sub>obs</sub> (Figs. 2 & 5), so that the model calibration aimed to increase <sup>13</sup>Δ<sub>comp</sub>. At midday, when <sup>13</sup>Δ<sub>comp</sub> was also most sensitive to changes in  $g_i$  (Fig. 5) and in the photorespiratory term (see above), an increase in <sup>13</sup>Δ<sub>comp</sub> could only be achieved by either increasing  $g_i$  (Fig. 5) or decreasing  $f$ , leading to the observed positive correlation between the two parameters (Table 3). In early morning and late afternoon, when the respiratory term was most important, the underestimation by <sup>13</sup>Δ<sub>comp</sub> could be overcome best by either increasing  $b$  or decreasing  $e$  (Fig. 4), leading also to a positive correlation between these two parameters (Table 3). This understanding of the <sup>13</sup>Δ<sub>comp</sub> model behaviour over diurnal cycles could also explain the observed effects of a temperature-dependent  $g_i$  on <sup>13</sup>Δ<sub>comp</sub>. At midday, the temperature-dependent  $g_i$  was higher than the constant  $g_i$ , and led to higher <sup>13</sup>Δ<sub>comp</sub> even with a lower  $b$  and a higher  $f$ , while during early morning and late afternoon hours, the temperature-dependent  $g_i$  was lower than a constant  $g_i$ , and resulted in a stronger underestimation of <sup>13</sup>Δ<sub>obs</sub>. The model calibration tried to overcome this underestimation with a decrease in  $e$ . The correlation analysis revealed a stronger interaction between  $f$  and  $b$  and a new correlation between  $g_i$  and  $e$  that was driven by a new early morning and late afternoon interaction between these parameters during the <sup>13</sup>Δ<sub>comp</sub> model calibration. Interestingly, both  $b$  and  $f$  estimates moved slightly closer to their physiological range when a temperature-dependent  $g_i$  was used, supporting the idea of a variable  $g_i$  (see below).

Model calibration for <sup>13</sup>Δ<sub>comp,TERN</sub> resulted in a lower  $b$  compared with when ternary effects were neglected

(Supporting Information Table S3). This decrease in  $b$  was driven by a similar mechanism as the one evoked for the model calibration with temperature-dependent  $g_i$  because ternary effect corrections (like the temperature-dependent  $g_i$ ) mainly led to a stronger decrease of  $c_c/c_a$  at midday, that is, when the evaporative demand (the leaf-to-air vapour mole fraction differences) was large. The overall effect was, however, so small that model residuals for <sup>13</sup>Δ<sub>comp</sub> and <sup>13</sup>Δ<sub>comp,TERN</sub> did not show any clear differences when plotted against the leaf-to-air vapour pressure deficit (Supporting Information Fig. S1).

Previous studies have also reported interdependencies between the <sup>13</sup>Δ<sub>comp</sub> model parameters. Using a regression approach that treated  $g_i$ ,  $b$  and  $f$  as unknowns, Lanigan *et al.* (2008) demonstrated the dependency of  $f$  estimates on assumed values of  $b$  and  $g_i$  in a laboratory study on *Senecio* species. Recently, Evans & von Caemmerer (2013) demonstrated a positive linear dependency between  $b$  and  $f$  estimates. Over a 25 K temperature range, they also showed that a decrease of the  $b \frac{c_c}{c_a}$  term (via a decrease in  $g_i$ ), and an increase of the  $f \frac{\Gamma^*}{c_a}$  term (via an increase in  $\Gamma^*$ ) roughly cancelled out any temperature dependency of the observed <sup>13</sup>Δ. Using a sensitivity analysis, Wingate *et al.* (2007) also illustrated interdependencies between  $b$ ,  $e$  and  $e^*$  for <sup>13</sup>Δ<sub>comp</sub> and <sup>13</sup>Δ<sub>revised</sub> predictions over the diurnal cycle in a field study on *P. sitchensis*. The current study nicely complements these results by treating all the parameters equally and using a 60-day-long dataset. However, this general pattern of interdependency between parameters indicates that controlled laboratory experiments simultaneously combining additional approaches, such as fluorescence and <sup>13</sup>C labelling will be necessary to constrain better certain parameters and to reveal the response of the photosynthetic and respiratory machinery to environmental drivers.

### Consequences for $g_i$ estimates

Manipulations of Eqns 3 and 5 are commonly used to derive estimates of  $g_i$  (Evans *et al.* 1986; Lloyd *et al.* 1992; Pons *et al.* 2009). The  $g_i$  estimation method relies on a number of assumptions, including full predictability of <sup>13</sup>Δ<sub>obs</sub> by <sup>13</sup>Δ<sub>comp</sub>, and thus displays similar sensitivities as <sup>13</sup>Δ<sub>comp</sub> model calibrations. For example, in a laboratory study on *Arabidopsis thaliana* (L.), *Nicotiana tabacum* (L.) and *Triticum aestivum* (L.), Tazoe *et al.* (2011) showed a large effect of  $b$  on the calculated  $g_i$  values, but small effects of  $f$  and  $e$ . However, this small sensitivity to respiratory terms is likely to depend on the environmental conditions or the plant species. For example, using <sup>13</sup>Δ<sub>obs</sub> data from a field study, Bickford *et al.* (2009) found that  $g_i$  estimates for *Juniperus monosperma* (Engelm.) were strongly dependent on the values used for  $e$  and  $f$ . Likewise, Douthe *et al.* (2011), working with *Eucalyptus* species under laboratory conditions, found that absolute  $g_i$  estimates were up to 50% larger when respiratory and photorespiratory terms of <sup>13</sup>Δ<sub>comp</sub> were considered for  $g_i$  calculations. Our study also indicates that  $g_i$  is strongly and

negatively correlated with  $b$  and positively correlated with  $f$  but also  $e$  (assuming  $g_i$  depends on temperature). It also provides a way forward for estimating  $g_i$  and its uncertainty, without having to fix the other parameters.

### Constant versus variable $g_i$

Evidence is increasing that  $g_i$  is most likely variable (Flexas *et al.* 2012). So far, studies investigating seasonal changes of  $g_i$  have not found a direct seasonal effect (e.g. Montpied *et al.* 2009; Ubierna & Marshall 2011) and evidence for a  $g_i$  decline with leaf age is also conflicting (e.g. Loreto *et al.* 1994; Ethier *et al.* 2006; Whitehead *et al.* 2011). The sensitivity analysis shown in Fig. 5 illustrates that the implementation of a seasonal decline of  $g_i$  would have deteriorated overall  $^{13}\Delta_{\text{comp}}$  model performance. Recent studies have further indicated the existence of short-term responses of  $g_i$  to environmental variables. Increasing irradiance (Flexas *et al.* 2008 and references therein; Douthe *et al.* 2011) tends to increase  $g_i$ , while an increasing  $\text{CO}_2$  mole fraction commonly results in a  $g_i$  decrease (Hassiotou *et al.* 2009 and references therein; Douthe *et al.* 2011; Tazoe *et al.* 2011; Flexas *et al.* 2012). Evans & von Caemmerer (2013) recently reinforced the idea that temperature is a major driver of  $g_i$  (Bernacchi *et al.* 2002; Warren & Dreyer 2006; Warren 2008). Our results are more contrasted: the temperature dependence of  $g_i$  led  $b$  and  $f$  to come closer to their physiological ranges but on the other hand the model performance became slightly worse than when  $g_i$  was constant. However, stronger diurnal variations in say  $e^*$  may have sufficed to improve model performance (Wingate *et al.* 2007; Tcherkez *et al.* 2011b), even with a variable  $g_i$ .

### Differences between the two $g_s$ datasets

The Ball–Berry approach prescribed each branch a defined stomatal response to observed  $A_n$ ,  $h_s$  and  $c_s$  (Eqn 2), known drivers of stomatal opening (Collatz *et al.* 1991), but other regulatory mechanisms of stomatal opening, such as root-derived hormonal signals (Damour *et al.* 2010) or seasonal acclimation (Kutsch *et al.* 2001), would not have been accounted for. However, a direct comparison between instantaneous  $^{13}\Delta_{\text{comp}}$  values calculated with either  $g_{s,\text{mod}}$  or with  $g_{s,\text{obs}}$  (data shown in the Supporting Information) indicated that the magnitude of  $^{13}\Delta_{\text{comp}}$  values was comparable for both approaches over the entire field campaign. Using observed  $g_s$  mostly altered the value and the constraint of  $g_i$  as well as its correlation with  $f$ . In general, we cannot rule out that  $g_s$  measurements were without error, as field measurements of  $g_s$  are notoriously difficult to make. However, the observed loss of correlation between  $g_i$  and  $f$ , when using  $g_{s,\text{obs}}$  instead of  $g_{s,\text{mod}}$  values, was most likely caused by the quality filtering of  $g_{s,\text{obs}}$  data that led to an underrepresentation of midday values in the respective model calibrations (see Materials and methods). This underrepresentation probably influenced parameter correlation, given the high sensitivity of  $^{13}\Delta_{\text{comp}}$  to  $g_i$  during midday (Fig. 5).

### Parametrization and constraint of $e$ and $e^*$

The small contribution of the  $R_{\text{day}}$  term in Eqn 3 to total predicted  $^{13}\Delta_{\text{comp}}$  (Fig. 6) mainly originated from the small magnitude of  $R_{\text{day}}$ . During night-time, measured branch respiration rates were on average  $0.1$  to  $0.2 \mu\text{mol m}^{-2} \text{s}^{-1}$  at leaf temperatures between  $10$  to  $15^\circ\text{C}$ . These rates were consistent with the range reported by Seibt *et al.* (2007) for leafy beech branches in the lower canopy. It showed a pronounced dependency on leaf temperature and our fitted  $Q_{10}$  values matched those previously reported for beech (Atkin *et al.* 2005), thus providing confidence in the measurements. Under light, and thus during daytime, mitochondrial respiration is believed to be down-regulated (Atkin *et al.* 2005; Lee *et al.* 2010), and mechanistic models describing this are only just beginning to emerge (Buckley & Adams 2011). Given the lack of quantitative approaches to model  $R_{\text{day}}$ , we simply assumed a 50% reduction (Tcherkez *et al.* 2005) of  $R_{\text{day}}$  compared with the leaf temperature-dependent nocturnal branch respiration. At a leaf temperature of  $25^\circ\text{C}$ ,  $R_{\text{day}}$  estimates ranged between  $0.1$  and  $0.2 \mu\text{mol m}^{-2} \text{s}^{-1}$  and matched estimates obtained from photosynthetic light response curves (Marshall & Biscoe 1980), fitted to each branch bag. Additional tests without any light inhibition of  $R_{\text{day}}$  did not show an increasing constraint of  $e$  or substantial change for any other calibrated parameter, including  $e^*$  and even when mean hourly  $e^*$  values were the only parameters to be estimated. This finding indicated the absence of a consistent diurnal pattern for an isotopic disequilibrium between substrates fuelling  $R_{\text{day}}$  and current assimilates. Day-to-day changes in the contribution of older and current carbon to the  $R_{\text{day}}$  substrate pool could have counteracted the constraint of  $e^*$ . Such changes could have originated from changes in cumulative daily assimilation or temperature that are likely to influence substrate supply and use; however, it was beyond the scope of the current study to explore this possibility.

### Model choice

Our results support the view that more detailed versions of the  $^{13}\Delta$  model are better suited than  $^{13}\Delta_{\text{simple}}$  for predicting instantaneous  $^{13}\Delta_{\text{obs}}$  in the field (Wingate *et al.* 2007). Roughly equal model performances of  $^{13}\Delta_{\text{simple}}$  and  $^{13}\Delta_{\text{comp}}$ , as reported by Bickford *et al.* (2009, 2010), were found for individual days (see Supporting Information), but were not the rule (Fig. 2). Our model exercise showed that the better performance of  $^{13}\Delta_{\text{comp}}$  (compared with  $^{13}\Delta_{\text{simple}}$ ) over diurnal time courses originated to a large extent in the assumption of a finite  $g_i$  and the inclusion of the photorespiratory term (Fig. 6), and consequently, encourages the ongoing use of these terms in models predicting  $^{13}\Delta$  variations at an hourly timescale (e.g. Ogée *et al.* 2003; Suits 2005; Cai *et al.* 2008; Zobitz *et al.* 2008; Ogée *et al.* 2009). At the daily timescale,  $^{13}\Delta_{\text{comp}}$  also performed better than  $^{13}\Delta_{\text{simple}}$  to predict flux-weighted daily means of  $^{13}\Delta_{\text{obs}}$ , but only for two of the three branches (Fig. 3). Whether this better performance of  $^{13}\Delta_{\text{comp}}$  compared with  $^{13}\Delta_{\text{simple}}$  is maintained at seasonal or inter-annual time-scales remains unknown. Thus, general recommendations for

the use of  $^{15}\Delta_{\text{comp}}$  for applications at such long timescales cannot be made.

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## SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article at the publisher's web-site:

**Figure S1.** Comparison of model residual analyses versus the leaf-to-air vapour pressure deficit ( $e_l - e_a$ ) for the  $^{13}\Delta_{\text{comp}}$  model with and without (Eqn 3) ternary corrections (Eqn A15), both for the  $g_{\text{s,obs}}$  and the  $g_{\text{s,mod}}$  approach. Values were calculated with MLE shown in Table 2 (Eqn 3,  $g_{\text{s,obs}}$ ), Supporting Information Tables S1 (Eqn 3,  $g_{\text{s,mod}}$ ) and S3 (Eqn A15,  $g_{\text{s,obs}}$  and  $g_{\text{s,mod}}$ ). Symbols denote the three different branch bags: BB1 – solid grey circles, BB2 – solid black triangles, BB3 – open black squares.

**Figure S2.** Predicted ( $^{13}\Delta_{\text{simple}}$ ) versus observed ( $^{13}\Delta_{\text{obs}}$ ) branch  $^{13}\text{C}$  discrimination for the simplified model ( $^{13}\Delta_{\text{simple}}$ ). All data points used for the respective modelling approach ( $g_{\text{s,obs}}$  and  $g_{\text{s,mod}}$ ) are shown. Model performance parameters can be found in Table 2 ( $g_{\text{s,mod}}$ ) and Supporting Information Table S1 ( $g_{\text{s,obs}}$ ).

**Figure S3.** Predicted ( $^{13}\Delta_{\text{comp}}$ ) versus observed ( $^{13}\Delta_{\text{obs}}$ ) branch  $^{13}\text{C}$  discrimination for the comprehensive model ( $^{13}\Delta_{\text{comp}}$ ). All data points used for the respective modelling approach ( $g_{\text{s,obs}}$  and  $g_{\text{s,mod}}$ ) are shown. Model performance parameters can be found in Table 2 ( $g_{\text{s,mod}}$ ) and Supporting Information Table S1 ( $g_{\text{s,obs}}$ ).

**Figures S4 to S13.** Observed and predicted  $^{13}\Delta$  for all days of the 2010 field campaign. Time axis unit is hour in CET. Symbol error bars represent  $\pm$  one propagated SD for the particular  $^{13}\Delta_{\text{obs}}$  measurement.  $^{13}\Delta_{\text{obs}}$  included in the  $g_{\text{s,mod}}$  model calibrations are shown as closed black symbols, while those not included (for various reasons) are shown as open symbols.

**Table S1.** Summary of the  $^{13}\Delta_{\text{simple}}$ ,  $^{13}\Delta_{\text{comp}}$  model calibration inputs and outputs as well as model performance measures for the  $g_{\text{s,obs}}$  approach. PM = estimated parameters; BB = branch bag; MLE = maximum likelihood estimate; P 2.5 and P 97.5 = 2.5 and 97.5 percentiles for posterior parameter distributions; Int. = intercept; max ln L = maximum of the natural logarithm of the negative likelihood; RMSE = root mean squared error. Slopes and intercepts were calculated using standard major axis – regression (SMA, model2 – regression);  $r^2$  was calculated with ordinary least square regression. Unit for  $g_i$  is  $\text{mol m}^{-2} \text{s}^{-1}$ ; all other parameters expressed in ‰.

**Table S2.** Correlation matrix of the calibrated model parameters of  $^{13}\Delta_{\text{comp}}$  for the  $g_{\text{s,obs}}$  approach. Prior parameter uncertainty ranges are identical to Supporting Information Table S1. Correlations are evaluated during repeated sampling from the posterior probability space and expressed by R values.

**Table S3.** Summary of the  $^{13}\Delta_{\text{comp,ternary}}$  model calibration inputs and outputs as well as model performance measures for the both  $g_{\text{s,obs}}$  and  $g_{\text{s,mod}}$  approaches. PM = estimated parameters; BB = branch bag; MLE = maximum likelihood estimate; P 2.5 and P 97.5 = 2.5 and 97.5 percentiles for posterior parameter distributions; Int. = intercept; max ln L = maximum of the natural logarithm of the negative likelihood; RMSE = root mean squared error. Slopes and intercepts were calculated using standard major axis – regression (SMA, model2 – regression);  $r^2$  was calculated with ordinary least square regression. Unit for  $g_i$  is  $\text{mol m}^{-2} \text{s}^{-1}$ ; all other parameters expressed in ‰.

**Table S4.** Correlation matrix of the calibrated model parameters of  $^{13}\Delta_{\text{comp}}$  for the  $^{13}\Delta_{\text{comp,ternary}}$  approach. Prior parameter uncertainty ranges are identical to Supporting Information Table S3. Correlations are evaluated during repeated sampling from the posterior probability space and expressed by R values. Shaded =  $g_{\text{s,mod}}$  and white =  $g_{\text{s,obs}}$ .

**Table S5.** Summary of the  $^{13}\Delta_{\text{comp,gi}^{25}}$  model calibration inputs and outputs as well as model performance measures for the both  $g_{\text{s,obs}}$  and  $g_{\text{s,mod}}$  approaches. PM = estimated parameters; BB = branch bag; MLE = maximum likelihood estimate; P 2.5 and P 97.5 = 2.5 and 97.5 percentiles for posterior parameter distributions; Int. = intercept; max ln L = maximum of the natural logarithm of the negative likelihood; RMSE = root mean squared error. Slopes and intercepts were calculated using standard major axis – regression (SMA, model2 – regression);  $r^2$  was calculated with ordinary least square regression. Unit for  $g_i$  is  $\text{mol m}^{-2} \text{s}^{-1}$ ; all other parameters expressed in ‰.

**Table S6.** Correlation matrix of the calibrated model parameters of  $^{13}\Delta_{\text{comp}}$  for the  $^{13}\Delta_{\text{comp,gi}^{25}}$  approach. Prior parameter uncertainty ranges are identical to Table S5. Correlations are evaluated during repeated sampling from the posterior probability space and expressed by R values. Shaded =  $g_{\text{s,mod}}$  and white =  $g_{\text{s,obs}}$ .

**Table S7.** Summary of the  $^{13}\Delta_{\text{comp,ternary,gi}^{25}}$  model calibration inputs and outputs as well as model performance measures for the both  $g_{\text{s,obs}}$  and  $g_{\text{s,mod}}$  approaches. PM = estimated parameters; BB = branch bag; MLE = maximum likelihood estimate; P 2.5 and P 97.5 = 2.5 and 97.5 percentiles for posterior parameter distributions; Int. = intercept; max ln L = maximum of the natural logarithm of the negative likelihood; RMSE = root mean squared error. Slopes and intercepts were calculated using standard major axis – regression (SMA, model2 – regression);  $r^2$  was calculated with ordinary least square regression. Unit for  $g_i$  is  $\text{mol m}^{-2} \text{s}^{-1}$ ; all other parameters expressed in ‰.

**Table S8.** Correlation matrix of the calibrated model parameters of  $^{13}\Delta_{\text{comp}}$  for the  $^{13}\Delta_{\text{comp,ternary,gi}^{25}}$  approach. Prior parameter uncertainty ranges are identical to Table S7. Correlations are evaluated during repeated sampling from the posterior

probability space and expressed by R values. Shaded =  $g_{s,mod}$  and white =  $g_{s,obs}$ .

## APPENDIX

### General isotope terminology

Carbon isotope ratios are given in the  $\delta$  notation (expressed in ‰), that is defined as the relative difference in the molar  $^{13}\text{C}/^{12}\text{C}$  ratios of a measured sample ( $R_{sample}$ ) and the international reference standard Vienna Pee Dee Belemnite ( $R_{V-PDB}$ ):

$$\delta^{13}\text{C} = \frac{R_{sample} - R_{V-PDB}}{R_{V-PDB}} \quad (\text{A1})$$

The carbon isotope discrimination by a plant was defined as (Farquhar & Richards 1984)

$$^{13}\Delta_{plant} = \frac{R_{air} - R_{plant}}{R_{plant}} = \frac{\delta_{air} - \delta_{plant}}{1 + \delta_{plant}} \quad (\text{A2})$$

### Calculation of gas exchange parameters

As *Fagus sylvatica* leaves are hypostomatous, all measures are expressed in terms of unit leaf area ( $L$ ) for one side of the leaves only (one-sided).

The rate of transpiration  $E$  per unit leaf area  $L$  was calculated following von Caemmerer & Farquhar (1981) as

$$E = \frac{f (w_o - w_e)}{L (1 - w_o)} \quad (\text{A3})$$

where  $f$  is the molar flow rate of moist air entering the branch bag, calculated from a volume flow rate ( $\text{dm}^3 \text{min}^{-1}$ ) by application of the ideal gas law using temperature and pressure measured at the site, and  $w_e$  and  $w_o$  are the mole fractions of water vapour at the branch bag inlets (ambient air) and outlets (chamber air).

The rate of the net  $\text{CO}_2$  assimilation during daytime  $A_n$  ( $\text{PAR} > 10 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) includes both leaf and twig net  $\text{CO}_2$  fluxes of enclosed beech branches and was calculated per unit leaf area  $L$  as

$$A_n = \frac{\phi}{L} (c_e - c_o) \quad (\text{A4})$$

where  $c_e$  and  $c_o$  are the  $\text{CO}_2$  mole fractions of dry air at the branch bag inlets and outlets. Air was dried with a membrane drier (PD-200T-24 Perma Pure LLC, ansyco GmbH, Karlsruhe, Germany), before entering the QCLAS-ISO. Since the FEP film, covering the branch bag construction frame, was fastened in a flexible manner, air pressure within and outside the bags was assumed to be equal. The flow rate on the outlet was not corrected for any increase in moisture generated by transpiration in the branch bag (Parkinson 1971) and thus assumed equal to the flow rate of moist air on the inlet  $\phi$  ( $\text{dm}^3 \text{min}^{-1}$ ).

The  $\text{CO}_2$  mole fraction of chamber air  $c_a$ , used for  $^{13}\Delta$  predictions, was assumed to be equal to  $c_o$  and no corrections were made for water vapour dilution of chamber air, for the reasons given above for  $A_n$ .

The flux-weighted daily mean of  $^{13}\Delta_{obs}$  was calculated as

$$\overline{^{13}\Delta_{obs,D}} = \frac{\sum_{k=1}^N ^{13}\Delta_{obs,k} A_{n,k}}{\sum_{k=1}^N A_{n,k}} \quad (\text{A5})$$

where  $N$  is the number of daytime measurements for a particular day (defined as  $\text{PAR} > 10 \mu\text{mol m}^{-2} \text{s}^{-1}$ ). The same equation was used for modelled values, and observed and modelled flux-weighted daily means were always calculated for identical  $N$  and  $k$  in Eqn A5. Days with an insufficient  $N$  or a time of day bias were excluded from the analysis.

Saturation water vapour pressure ( $e_{sat}$ ) was calculated based on Buck (1981):

$$e_{sat} = 0.61364 \exp\left(\frac{17.502T}{240.97 + T}\right) \quad (\text{A6})$$

with  $e_{sat}$  in kPa, and  $T$  defined as air or lower leaf surface temperature in  $^{\circ}\text{C}$ , either measured inside or outside the branch bag depending on the application.

Relative humidity ( $h$ ) was calculated using the following relationships:

$$e = w p_{atm} \quad \text{and} \quad h = \frac{e}{e_{sat}} \quad (\text{A7-A8})$$

where  $w$  denotes water vapour mole fractions and  $e$  denotes water vapour pressures of either ambient or branch bag air, and  $p_{atm}$  is the atmospheric pressure at the site.

Observed stomatal conductance ( $g_{s, obs}$ ) was calculated as

$$w_i = \frac{e_{sat, T_{leaf}}}{p_{atm}} \quad (\text{A9})$$

$$g_{tw} = \frac{E}{w_i - w_o} \quad (\text{A10})$$

$$\frac{1}{g_s} = \frac{1}{g_t} + \frac{1}{g_b} \quad \text{with} \quad g_{sc} = \frac{g_{sw}}{1.6} \quad \text{and} \quad g_{bc} = \frac{g_{bw}}{1.37} \quad (\text{A11-A13})$$

where  $g$  denotes conductance;  $w_i$  is the  $T_{leaf}$ -derived mole fraction of water vapour inside the leaf, assuming water vapour saturation inside leaves;  $T_{leaf}$  is the lower leaf surface temperature within the branch bags; the first subscript t, s, b denotes total, stomatal and boundary-layer conductance, respectively; the second subscript w and c denotes conductances for water vapour and  $\text{CO}_2$ . No ternary correction (Jarman 1974; von Caemmerer & Farquhar 1981) was applied to Eqn A10.

### Alternative equations using ternary corrections

Ternary corrections in gas exchange equations account for effects that collisions between molecules in a ternary system of gases –  $\text{CO}_2$ , water vapour and air – have on the diffusive flux of  $\text{CO}_2$  and water vapour through the stomatal pore (Jarman 1974; von Caemmerer & Farquhar 1981). Given the probable measurement imprecisions arising from stomatal

heterogeneity or from volume flow rate and leaf area determinations, we first neglected ternary effect corrections to Eqn A10 ( $g_{tw}$ ) and Eqn 6 ( $c_i$ ) and for consistency, ternary corrections were also not applied to Eqns 3, 4 and 5 (Farquhar & Cernusak 2012). Nonetheless, we tested the influence of ternary effect corrections on model calibration and behaviour of  $^{13}\Delta_{comp}$  (Eqn 3) by incorporating such corrections into Eqns 3 and 6. Equation A10 was not changed in order to preserve the original input dataset but including the ternary correction into Eqn A10 would have lowered  $g_{s,obs}$  values by less than 4%.

When accounting for ternary effects, the calculation for  $c_i$  given in Eqn 6 changes to Eqn A14 (von Caemmerer & Farquhar 1981):

$$c_{i,TERN} = \frac{\left(g_{tc} - \frac{E}{2}\right)c_a - A_n}{\left(g_{tc} + \frac{E}{2}\right)} \quad (A14)$$

and the model formulation for  $^{13}\Delta_{comp}$  given in Eqn 3 changes to Eqn A15 (Farquhar & Cernusak 2012):

$$^{13}\Delta_{comp,TERN} = \frac{1}{1-t} \left[ a_b \frac{c_a - c_s}{c_a} + a \frac{c_s - c_i}{c_a} \right] + \frac{1+t}{1-t} \left[ (e_s + a_1) \frac{c_i - c_c}{c_a} + b \frac{c_c}{c_a} - \frac{\alpha_b}{\alpha_f} f \frac{\Gamma^*}{c_a} - \frac{\alpha_b}{\alpha_e} e \frac{R_{day}}{A_n + R_{day}} \frac{c_c - \Gamma^*}{c_a} \right] \quad (A15)$$

with  $\alpha_{ac} = \frac{\alpha_{ac} E}{2 g_{tc}}$ ,  $\alpha_{ac} = 1 + \bar{a}$ ,  $\bar{a} = a_b \frac{c_a - c_s}{c_a - c_i} + a \frac{c_s - c_i}{c_a - c_i}$ ,  $\alpha_b = 1 + b$ ,  $\alpha_f = 1 + f$  and  $\alpha_e = 1 + e$ .